

**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda



#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Notice is hereby given that a public meeting of the Legislative and Regulatory Subcommittee of the Dental Hygiene Committee of California (DHCC) will be held as follows:

# <u>LEGISLATIVE AND REGULATORY SUBCOMMITTEE MEETING</u>

Friday, May 6, 2016 9:00 a.m. until Adjournment Marriott Hotel Los Angeles International Airport 5855 West Century Blvd., Atlanta/Boston Room Los Angeles, CA 90004

## **Legislative and Regulatory Subcommittee Members:**

Susan Good, Chair Michelle Hurlbutt, RDH Educator Nicolette Moultrie, RDH Garry Shay, Public Member

# After Adjournment of the Full Committee Meeting

#### **Agenda**

- LEG 1 Roll Call
- **LEG 2** Public Comment for Items Not on the Agenda

[The DHCC may not discuss or take action on any matter raised during the Public Comment section that is not included on this agenda, except whether to decide to place the matter on the agenda of a future meeting (Government Code §§ 11125 & 11125.7(a)]

- LEG 3 Approval of the May 2, 2015 Legislative and Regulatory Subcommittee Meeting Minutes
- **LEG 4** 2016 Tentative Legislative Calendar Informational Only
- **LEG 5** Discussion and Possible Action to Initiate Rulemaking to Amend California Code of Regulations (CCR), Title 16, Article 13, Section 1151, Out-of-State Practitioner Authorization to Participate in Sponsored Free Healthcare Events
- **LEG 6** Discussion and Possible Action to Initiate Rulemaking to Amend CCR, Title 16, Section 1107, RDH Course in Local Anesthesia, Nitrous Oxide Oxygen Analgesia and Periodontal Soft Tissue Curettage
- **LEG 7** Discussion and Possible Action on the Following Legislation:

#### **Designated Two-Year Legislative Bills:**

- Assembly Bill (AB) 12 (Cooley) State Government: Administrative Regulations:
   Review
- AB 648 (Low) Community Based Services: Virtual Dental Home Grant Program

## **Newly Introduced Bills:**

- AB 1868 (Wagner) Regulations: Legislative Notice
- AB 2701 (Jones) Boards: Training Requirements
- AB 2859 (Low) Professions and Vocations: Retired Category: Licenses
- Senate Bill (SB) 1033 (Hill) Medical Board: Disclosure of Probationary Status
- SB 1155 (Morrell) Professions and Vocations: Licenses: Military Service
- SB 1217 (Stone) Healing Arts: Reporting Requirements: Professional Liability

#### **LEG 8** – Future Agenda Items

## **LEG 9** – Adjournment

DHCC members who are not members of this subcommittee may attend meetings as observers only, and may not participate or vote. Action may be taken on any item listed on this agenda, including information only items. Items may be taken out of order for convenience, to accommodate speakers, or maintain a quorum. All times are approximate and subject to change. The meeting may be cancelled without notice. For verification of the meeting, call (916) 263-1978 or access the Committee's Web Site at www.dhcc.ca.gov.

The meeting facilities are accessible to individuals with physical disabilities. A person who needs a disability-related accommodation or modification in order to participate in the meeting may make a request by contacting Anthony Lum at (916) 576-5004 or e-mail anthony.lum@dca.ca.gov or send a written request to DHCC at 2005 Evergreen Street, Ste. 2050, Sacramento, CA 95815. Providing your request at least five (5) business days before the meeting will help to ensure availability of the requested accommodation.



**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 1

**Roll Call** 

**Establishment of a Quorum** 



**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 2

Public Comment for Items Not on the Agenda

[The DHCC may not discuss or take action on any matter raised during the Public Comment section that is not included on this agenda, except whether to decide to place the matter on the agenda of a future meeting (Government Code §§ 11125 & 11125.7(a)]



**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 3

Approval of the May 2, 2015 Legislative and Regulatory Subcommittee Meeting Minutes



# DENTAL HYGIENE COMMITTEE OF CALIFORNIA

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#### **DRAFT - LEGISLATIVE AND REGULATORY SUBCOMMITTEE MEETING MINUTES**

Saturday, May 2, 2015 Embassy Suites Anaheim - Orange 400 N. State College Blvd. Orange, CA 92868

#### LEG 1 - Roll Call

Susan Good, Chair of the Legislative and Regulatory Subcomittee, called the meeting to order with a roll call at: 10:03 a.m. With all four (4) subcomittee members present, a quorum was established.

### Legislative and Regulatory Subcommittee members present:

Susan Good, Chair Michelle Hurlbutt, RDH Educator Noel Kelsch, RDHAP Nicolette Moultrie, RDH

# LEG 2 - Public Comment for Items Not on the Agenda

There was no public comment for items not on the agenda.

#### LEG 3 - Chairperson's Report

Chair Susan Good informed the subcommittee members that there was a teleconference meeting on March 2, 2015 to discuss Assembly Bill (AB) 502 (Chau) where there was discussion on the amendments and one specifically regarding the incorporation of the Registered Dental Hygienist in Alternative Practice (RDHAP) and that the sponsor of the bill integrated most of the concerns expressed. However, some amendments regarding disciplinary and regulatory comments were not yet incorporated.

# LEG 4 - Approval of the December 5, 2014 Legislative and Regulatory Subcommittee Meeting Minutes

 Motion: Nicolette Moultrie moved to approve the minutes from the December 5, 2014 Legislative and Regulatory Subcommittee Meeting.

Noel Kelsch seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Х		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

# LEG 5 - Discuss and Possible Action Including Recommendations to the Full Committee on the Following Legislation:

a. <u>Assembly Bill (AB) 12 (Cooley) State Government: Administrative Regulations: review</u>

Guadalupe Castillo reported that this bill was amended on April 22, 2015, and most of the amendments further clarify the language. The bill requires every state agency including boards and bureaus to eliminate any regulations that are overlapping, duplicative, inconsistent, or no longer in effect and she anticipates that it would not have any significant impact to the Committee. She stated that the bill would require the Committee to work closely with the Dental Board of California (DBC) on some issues since there are many issues that affect RDH and RDHAPs that are contained in DBC's regulations. She continued that some programs would need to start this process immediately due to the strict timelines included in the language; however, it would not impact the Committee because we are currently working on regulations, but do not have many already in place. She recommended a watch or support position for the bill.

• Motion: Nicolette Moultrie moved to recommend to the full committee to take a watch position on AB 12.

Noel Kelsch seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Χ		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Χ		

#### b. AB 41 (Chau) – Healthcare Coverage: discrimination

Ms. Castillo said that this bill caudifies Federal law protection that prohibits health plans to discriminate against any professional category as long as they are acting within their scope of practice. This bill was included because the subcommittee and full committee had previously expressed an interest in the reimbursement issue for RDHAPs. She

explained that the bill should provide a tool for RDHAPs to obtain reimbursement from health insurance companies. Noel Kelsch stated that hopefully, this bill will help, as RDHAPs are not currently recognized at the Federal level as a provider of dental services. Ms. Castillo recommended a support position for the bill.

 Motion: Michelle Hurlbutt moved to make recommendation to the Full Committee to support AB 41.

Noel Kelsch seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Х		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

## c. AB 85 (Wilk) - Open Meetings

Ms. Castillo reported that the bill changes the definition of a state body in Government Code defining that every 2 person meeting conducted by a state entity would be required to be noticed to the public. She explained that all state programs would be affected as they would be required to notice any 2 person meeting and that there would be a significant fiscal impact from the bill, as additional costs would be incurred for meeting locations, travel expenses, and staff workload and time. She stated other DCA boards and bureaus have expressed a significant fiscal impact from the bill as well. She said that similar language was presented in the last legislative session in AB 2058 which was vetoed by the Governor. She recommended a watch or oppose position for the bill.

• Motion: Michelle Hurlbutt moved to make recommendation to the Full Committee to oppose AB 85.

Nicolette Moultrie seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Х		
Michelle Hurlbutt	Χ		
Noel Kelsch	Χ		
Nicolette Moultrie	Χ		

# d. <u>AB 179 (Assembly Committee on Business and Professions) –</u> <u>Dentistry</u>

Ms. Castillo reported that the bill was amended on April 27, 2015 and a copy of the amended version was presented to the subcommittee members and the public. She stated that the bill is the Dental Board's sunset legislation for this year and that the latest amended version included some cleanup provisions for the Dental Practice Act. She reviewed all of the latest amendments to the bill which included:

- 1) Business and Professions Code (BPC) section 726 impacts the general provisions of the BPC which would affect the healing arts boards that the Committee is a part of. This section exempts sexual contact between a doctor and his or her spouse when providing nonpsychotherapeutic medical treatment and would extend this exemption to all healing arts licensees. She noted that other than this amendment, there were no other amendments to the bill that impact the Committee.
- 2) Other bill amendments that did not impact the Committee:
  - modified the fee structure of the DBC by increasing the DBC's statutory maximum for several fees;
  - requires the DBC to work with the DCA Office of Professional Examination Services to determine whether a practical examination for Registered Dental Assistants (RDA) is necessary by January 1, 2017; and
  - add the Board for Vocational Nursing and Psychiatric Technicians sunset to the bill's language.

Ms. Castillo recommended a watch position.

 Motion: Nicolette Moultrie moved to make recommendation to the Full Committee to support AB 179 in its current amended version (4/27/2015 version).

#### Michelle Hurlbutt seconded the motion.

Public Comment: Karen Fischer, Executive Officer of the Dental Board of California, stated that AB 179 is also linked to AB 178 (Bonilla) and the author has placed a moratorium on the RDA practical exam for one year and was placed as an urgency clause. If the AB 178 is signed by the Governor, the 1-year moratorium would become effective immediately where RDA candidates would not need to pass a practical examination. She indicated that this would not prohibit a candidate from applying for licensure, as they would still be required to pass the written and Law and Ethics examinations and fulfilled the fingerprint requirements. She explained that in order for the DBC to stop the RDA practical exam, the DBC would need data to do so, so the DBC will be conducting a full occupational analysis (OA) of RDAs and RDAEFs to determine whether a practical examination is necessary since they are supervised. She stated that the trend within DCA for those professions that are supervised that a practical exam may not be the best method to test their skills, abilities, and knowledge. She continued that if this is the case and stemming from the results of the OA, the written

examination would be revised to include areas that would have been tested on the practical. She said that the language was submitted at the last minute and the DBC did not see the language until it was introduced recently. She added that if the language in AB 178 does not pass, it is anticipated that the RDA language would be moved into an amended AB 179 so it would move forward with the Governor's signature and the 1-year moratorium would be effective as of January 1, 2016. She anticipates that there may be a flood of RDA applicants received once the information spreads that there is no practical exam needed to obtain the license.

Jo Anne Galliano believed that the language in BPC section 726 does not define the relationship enough and that there are a number of dental hygiene licensees that will be having relationships that are against the law. She stated that a watch position over a support position of the bill is more appropriate.

Gail Mathe, California Dental Association, stated that licensees do provide professional services to their spouses or significant other and thus, breaking the law. She indicated that since the exemption has been in law for 20 years for physicians and surgeons, the Business and Professions Committee determined that the level of exemption as stated in the language was at a level that is appropriate for the remaining health care providing fields and any other situation (i.e., dating or other situation) should be a referral to another professional practitioner.

Vote: The motion failed (split vote 2-2)

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good		Х	
Michelle Hurlbutt	Х		
Noel Kelsch		Χ	
Nicolette Moultrie	Х		

With a split vote, Chair Good asked for another motion on the bill.

 Motion: Noel Kelsch moved to make recommendation to the Full Committee to take a watch position on AB 179.

Susan Good seconded the motion.

Vote: The motion failed (split vote 2-2).

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Χ		
Michelle Hurlbutt		Χ	
Noel Kelsch	X		
Nicolette Moultrie		Χ	

Because the subcommittee was unable to reach a position on a recommendation to the Full Committee on this legislation and under DCA Legal's advice, the subcommittee will present the issue to the Full Committee at tomorrow's (May 3, 2015) meeting for consideration.

e. <u>AB 351 (Jones-Sawyer) – Public Contracts: small business</u> participation

Ms. Castillo reported that this bill would require all state agencies to establish an annual goal of 25% small business participation for contracts. She stated that DCA already complies with this level of small business participation for contracts and the bill would not have a significant impact to the Committee.

• Motion: Nicolette Moultrie moved to make recommendation to the Full Committee to take a watch position on AB 351.

Michelle Hurlbutt seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE:		OTHER
	Aye	Nay	
Susan Good	Χ		
Michelle Hurlbutt	Χ		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

#### f. AB 366 (Bonta) – Medi-Cal Reimbursement Rates

Ms. Castillo reported that the bill would increase the rates at which Denti-Cal providers are reimbursed by an equivalent rate of increase with other Medi-Cal providers and due to the Committee's interest in provider reimbursements for RDHAPs, she believed the Committee would want to track the bill's progression. She recommended a watch or support position.

 Motion: Noel Kelsch moved to make recommendation to the Full Committee take a watch position on AB 366.

Michelle Hurlbutt seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Х		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

### g. AB 483 (Patterson) – Healing Arts: initial license fees: proration

Ms. Castillo reported that the bill was amended on April 9, 2015, and the language is almost identical to last years' legislation, AB 1758 to require to prorate a program's initial licensing fee. She stated that the impact from the legislation would affect the Original Licensure Fee, as the fee would need to be prorated on a monthly basis. The programs that are affect are listed in the legislation. The amended version only had changes that did not affect the Committee.

• Motion: Nicolette Moultrie moved to make recommendation to the Full Committee to oppose AB 483.

Noel Kelsch seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Х		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

#### h. AB 502 (Chau) – Dental Hygiene

Ms. Castillo reported that the bill was amended on April 30, 2015, but staff were unable to provide copies of the bill since the amendment just occurred prior to the meetings.

Ms. Hurlbutt stated that the bill's content addresses some of the issues that was brought forth within the Committee's Sunset Review.

 Motion: Noel Kelsch moved to make recommendation to the Full Committee to support if amended to include technical amendments related to the include RDHAPs in Business and Professions Code regarding the incorporation of RDHAPs.

Michelle Hurlbutt seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Χ		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

#### i. AB 611 (Dahle) - Controlled Substances: prescriptions: reported

Ms. Castillo stated that this bill does not apply directly to the Committee, but would allow the Committee's enforcement staff access to the CURES database, which is the database created to track substance abuse licensees and listing the prescription medications that are being used by medical doctors, pharmacists, and dentists. She explained that the Committee's access would help the enforcement staff to identify any license with substance abuse issues. She recommended a support or watch position on the bill.

Chair Good commented that she believed that the Committee should have access to CURES to do all it could to promote consumer protection. She stated that cost for access to the CURES system should not be a reason not to support the bill because, depending upon the charge for the system, it is more important to protect the public. Nancy Gaytan, Enforcement Analyst, stated that she has had to have access to the CURES system in the past and the DBC's investigators have helped with gaining access for her cases. However, she believes that she would benefit from direct access to CURES for some enforcement cases and is currently working to gain access.

Ms. Fischer stated that dentists are charged \$12 dollars in addition to their licensing fees to pay for the CURES system. She said that not only do dentists pay for CURES, but medical doctors and three other licensing board's licensees also pay for the system. She stated that there is question as to whether any regulatory board will have access to CURES due to a current lawsuit that was filed that may prohibit licensing boards to have access to CURES. She explained that only the DBC's investigators have access to CURES and no other staff. She clarified that the CURES system only tracks dispensers and prescribers of medications for the tracking of controlled substances.

 Motion: Michelle Hurlbutt moved to make recommendation to the Full Committee to take a watch position on AB 611.

Nicolette Moultrie seconded the motion.

Vote: The motion failed (split vote 2-2).

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good		Χ	
Michelle Hurlbutt	Х		
Noel Kelsch		Χ	
Nicolette Moultrie	Χ		

# j. <u>AB 648 (Low) – Community-Based Services: Virtual Dental Home</u> Program

Ms. Castillo reported that this legislation would provide the infrastructure funding for the Virtual Dental Home Program that was caudified by AB 1174 (Ch. 662, Statutes of 2014). The program would reside under the Department of Public Health's Office of Oral Health. She reported that there would be no direct fiscal impact to the Committee since the funding originates from the State's General Fund. She stated that the stakeholders (The Children's Partnership and the California Dental Association) of the bill are present for any questions and that she recommends a support position for the bill.

Ms. Hurlbutt inquired as to what the \$4 million from the General Fund as contained in the bill will be used for. Gayle Mathe, CDA, stated that the funds will be used to help move the program forward and will be used for the creation of technical assistance, additional programs to fund the operations, and create collaboratives for support to continue the system build beyond what Dr. Paul Glassman has already implemented beyond the Health Workforce Pilot Project (HWPP) 172 program. She estimated that the funds would pay for about 20 new programs over a 3 year time span.

 Motion: Noel Kelsch moved to make recommendation to the Full Committee to take a support position on AB 648.

Michelle Hurlbutt seconded the motion.

Vote: The motion failed (split vote 2-2).

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Х		
Michelle Hurlbutt		Х	
Noel Kelsch	Χ		
Nicolette Moultrie		Х	

#### k. AB 728 (Hadley) – State Government: financial reporting

Ms. Castillo reported that the bill would require all state agencies to post biannually internal accounting on their websites within 5 days of finalizing the accounting reports. She said that it would primarily affect the umbrella agency, Business, Consumer Services, and Housing Agency, who would be required to post the reports on their website, not the Committee's website. She stated that the bill could affect Committee staff with an increase in workload should the department request multiple reports on the Committee's programs.

• Motion: Michelle Hurlbutt moved to make recommendation to the Full Committee to take a watch position on AB 728.

Nicolette Moultrie seconded the motion.

Vote: The motion passed 3-1 (Susan Good opposed).

NAME	Min VO Aye		OTHER
Susan Good		Χ	
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

#### I. AB 750 (Low) – Business and Professions: licenses

Ms. Castillo reported that the bill would allow all licensing boards to create a retired status license category and a fee for the status by regulation. She explained that if the bill passes, it would create a large expense for the department, as it would create a new license category which would delay the implementation of the new BreEZe computer system by having to create a new licensure category and cause the department to renegotiate its contract with the computer system vendor. She explained that the projected additional cost would be \$1.2 million per month in project delays and \$500,000 per month delay costs to the contracted vendor. She clarified that the bill mandates to create a retired licensing category, but does not need to be created immediately. Ms. Hubble stated that this is an issue the Committee has been reviewing and decided to pursue after the BreEZe computer system was implemented due to the high cost of doing so prior to implementation. She said that the retired status allowed a licensee to maintain their license, but showed to the board or committee that they are no longer practicing and could possibly pay a reduced renewal fee.

 Motion: Nicolette Moultrie moved to make recommendation to the Full Committee to support AB 750.

Noel Kelsch seconded the motion.

Vote: The motion passed 4-0.

NAME	Min VO Aye	 OTHER
Susan Good	Х	
Michelle Hurlbutt	Х	
Noel Kelsch	Х	
Nicolette Moultrie	Х	

# m. AB 797 (Steinorth) - Regulations: effective dates and legislative review

Ms. Castillo said this bill requires that all proposed regulations that would cost over \$50 million be returned to the Legislature for final approval after being vetted and approved through the Office of Administrative Law and the State Controller's Office. She explained that the bill is not likely to impact the Committee; however, it should be considered that if the bill passes, any regulations that fit this category will need additional time for review since it will be returned to the Legislature for final approval. She continued that if the bill passes, it would go into effect as of January 1, 2016.

• Motion: Michelle Hurlbutt moved to make recommendation to the Full Committee to take a watch position on AB 797.

Nicolette Moultrie seconded the motion.

Vote: The motion passed 4-0.

NAME	Min VO	TE:	OTHER
	Aye	Nay	
Susan Good	Χ		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Χ		

#### n. AB 880 (Ridley-Thomas) - Dentistry

Ms. Castillo reported that this bill would affect dental students in their final year of school and would exempt them from licensure and allow them to provide dental care services at specific events as detailed in the bill under supervision as unpaid volunteers at sponsored events. She explained that the bill would not have any direct impact to the Committee.

 Motion: Michelle Hurlbutt moved to make recommendation to the Full Committee to support AB 880 in its April 28, 2015 version of the bill. Nicolette Moultrie seconded the motion.

Vote: The motion passed 4-0.

NAME	Minutes VOTE: Aye Nay		OTHER
Susan Good	Х		
Michelle Hurlbutt	Х		
Noel Kelsch	Х		
Nicolette Moultrie	Х		

## o. AB 1060 (Bonilla) - Professions and Vocations: licensure

Ms. Castillo said the bill will require the Committee to provide specified information through first-class mail and email to an ex-licensee when their license is suspended or revoked. She stated that the Committee already inform an ex-license through Certified Mail and that this would simply add the requirement to email and send the information first class mail as well. She explained that there may be an additional workload to the Committee's enforcement staff and a minor, but absorbable fiscal impact due to additional mailing costs. She said that there was also a departmental concern regarding email storage and record keeping, too.

 Motion: Nicolette Moultrie moved to make recommendation to the Full Committee to take a watch position on AB 1060.

Michelle Hurlbutt seconded the motion.

Vote: The motion passed 4-0.

NAME	Min VO Aye	 OTHER
Susan Good	Х	
Michelle Hurlbutt	Х	
Noel Kelsch	Χ	
Nicolette Moultrie	Χ	

# p. <u>SB 351 (Committee on Banking and Financial Institutions) – Corporations: management</u>

Ms. Castillo reported that the bill is the cleanup language for the omnibus bill. She said that the amendment to the bill is supported by CDHA and allows the incorporation of RDHAPs in the Corporations Code. She said that there is a similar provision in AB 502 which will probably be struck to allow this language to progress the change to the Corporations Code. She explained that RDHAPs already have the authority to incorporate as per Business and Professions Code section 1962 and this bill would also allow them to incorporate under the

Corporations Code which was an issue in the Committee's Sunset Report.

 Motion: Noel Kelsch moved to make recommendation to the Full Committee to support SB 351.

Nicolette Moultrie seconded the motion.

Vote: The motion passed 4-0.

NAME	Min VO Aye	TE:	OTHER
Susan Good	Χ		
Michelle Hurlbutt	Х		
Noel Kelsch	Χ		
Nicolette Moultrie	Χ		

q. <u>SB 800 (Committee on Business, Professions and Economic Development) – Healing Arts</u>

Ms. Castillo stated that this bill is the Committee on Business, Professions and Economic Development's omnibus bill for non-substantive changes for the department's healing arts programs. She stated that there were only sections of the bill in the meeting packet that affected the Committee rather than the entire bill as it is lengthy and reviewed the four non-substantive changes with the subcommittee members.

• Motion: Michelle Hurlbutt moved to make recommendation to the Full Committee to support SB 800.

Noel Kelsch seconded the motion.

Vote: The motion passed 4-0.

NAME	Min VO Aye	 OTHER
Susan Good	Х	
Michelle Hurlbutt	Х	
Noel Kelsch	Х	
Nicolette Moultrie	Х	

r. Any Other Legislation of Interest to the Subcommittee

AB 178 (Bonilla) - Ms. Hurlbutt stated that she would like staff to monitor AB 178 and apprise the subcommittee of any changes that could affect AB 179.

SB 467 (Hill) – Ms. Castillo stated that this bill has two subjects that impact the Committee. She said the first part pertains to Departmental Pro Rata that state agencies pay for services that are provided by the department for a fee. She continued that the second subject was for the Attorney General's Office to provide performance measures in the future. The subcommittee directed staff to monitor and apprise them of any changes to the bill.

## LEG 6 - Future Agenda Items

Chair Good suggested that the Committee review a points system to determine the acceptance of applicants to dental hygiene programs.

Public Comment: Jo Ann Galliano asked that the subcommittee reach out for any legislation that affects the health of the public (i.e., labling of sugar content in beverages – sugar warning labels (SB 203) and tobacco free ballparks (AB 768).

## LEG 7 - Adjournment

The Legislative and Regulatory Subcommittee meeting adjourned at: 12:20 p.m.



**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 4

2016 Tentative Legislative Calendar – Informational Only

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

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# MEMORANDUM

DATE	May 6, 2016
то	Legislative and Regulatory Subcommittee Meeting
FROM	Lori Hubble, Executive Officer
SUBJECT	LEG 4 – 2016 Tentative Legislative Calendar

The 2016 Tentative Legislative Calendar is distributed by the Secretary of State's Office to show important legislative events throughout the year.

# **Committee Action Requested**

This agenda	item is i	nformational	only and no	Committee	action is	required.
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# **2016 TENTATIVE LEGISLATIVE CALENDAR**

COMPILED BY THE OFFICE OF THE SECRETARY OF THE SENATE

	JANUARY							
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	FEBRUARY							
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8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

#### **DEADLINES**

- Statutes take effect (Art. IV, Sec. 8(c)). Jan. 1
- Jan. 4 Legislature **reconvenes** (J.R. 51(a)(4)).
- Jan. 10 Budget must be submitted by Governor (Art. IV,Sec. 12 (a)).
- Jan. 15 Last day for policy committees to hear and report to Fiscal Committees fiscal bills introduced in their house in theodd-numbered year. (J.R. 61(b)(1)).
- Jan. 18 Martin Luther King, Jr. Dayobserved.
- Jan. 22 Last day for any committee to hear and report to the Floor bills introduced in their housein 2015 (J.R. 61(b)(2)). Last day to submit bill requests to the Office of Legislative Counsel.
- Jan. 31 Last day for each house to pass bills introduced in that house in the odd-numbered year (J.R. 61(b)(3)), (Art. IV, Sec. 10(c)).
- Feb. 15 Presidents' day observed.
- Feb. 19 Last day for bills to be introduced (J.R. 61(b)(4), (J.R. 54(a)).

- Mar. 17 Spring Recess begins upon adjournment (J.R. 51(b)(1)).
- Mar. 28 Legislature reconvenes from Spring Recess (J.R. 51(b)(1)).

- Apr. 1 Cesar Chavez Day Observed.
- Apr. 22 Last day for policy committees to hear and report to Fiscal Committees **fiscal bills** introduced in their house(J.R. 61(b)(5)).
- May 6 Last day for policy committees to hear and report to the Floor nonfiscal bills introduced in theirhouse (J.R. 61(b)(6)).
- May 13 Last day for policy committees to meet prior to June 6 (J.R. 61(b)(7)).
- May 27 Last day for fiscal committees to hear and report to the Floor bills introduced in theirhouse (J.R. 61 (b)(8)). Last dayfor fiscal committees to meet prior to June 6 (J.R. 61 (b)(9)).
- May 30 Memorial Day observed.
- May 31 June 3 Floor Session only. No committee may meet for any purpose (J.R. 61(b)(10)).

# 2016 TENTATIVE LEGISLATIVE CALENDAR

COMPILED BY THE OFFICE OF THE SECRETARY OF THE SENATE

JUNE						
S	M T W TH F S					
			1	2	3	4
5	6	7	8	9	10	11
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JULY						
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31					·	

AUGUST						
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28	29	30	31			

- **June 3** Last day for each house to pass bills introduced in that house (J.R. 61(b)(11)).
- **June 6** Committee meetings may resume (J.R. 61(b)(12)).
- June 15 Budget Bill must be passed by midnight (Art. IV, Sec. 12(c)(3)).
- **June 30** Last day for a legislative measure to qualify for the Nov. 8 General election ballot (Elections Code Sec. 9040).
- July 1 Last day for policy committees to meet and report bills (J.R. 61(b)(13)). Summer Recess begins upon adjournment provided the Budget Bill has been passed (J.R. 51(b)(2)).
- July 4 Independence Day observed.
- Aug. 1 Legislature reconvenes from Summer Recess (J.R. 51(b)(2)).
- **Aug. 12** Last day for **fiscal committees** to meet and report bills (J.R. 61(b)(14)).
- **Aug. 15 31 Floor Session only.** No committees may meet for any purpose (J.R. 61(b)(15)).
- Aug. 19 Last day to amend on the Floor (J.R. 61(b)(16)).
- Aug. 31 Last day for each house to pass bills, except bills that take effect immediately or bills in Extraordinary Session (Art. IV, Sec. 10(c)), (J.R. 61(b)(17)).

  Final Recess begins upon adjournment (J.R. 51(b)(3)).

# IMPORTANT DATES OCCURRING DURING FINAL RECESS

### 2016

Sept. 30 Last day for Governor to sign or veto bills passed by the Legislature before Sept. 1 and in the Governor's possession on or after Sept. 1 (Art. IV, Sec.10(b)(2)).

Nov. 8 General Election.

Nov. 30 Adjournment Sine Die at midnight (Art. IV, Sec. 3(a)).

Dec. 5 12 Noon convening of the 2017-18 Regular Session (Art. IV, Sec. 3(a)).

<u>2017</u>

Jan. 1 Statutes take effect (Art. IV, Sec. 8(c)).

<sup>\*</sup>Holiday schedule subject to Senate Rules committee approval



**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 5

Discussion and Possible Action to Initiate Rulemaking to Amend California Code of Regulations (CCR), Title 16, Article 13, Section 1151, Out-of-State Practitioner Authorization to Participate in Sponsored Free Healthcare Events

GOVERNOR EDMUND G. BROWN JR

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



# MEMORANDUM

DATE	May 6, 2016
то	Legislative and Regulatory Subcommittee Meeting
FROM	Lori Hubble, Executive Officer
SUBJECT	<b>LEG 5 –</b> Discussion and Possible Action to Initiate Rulemaking to Amend California Code of Regulations (CCR), Title 16, Article 13, Section 1151, Outof-State Practitioner Authorization to Participate in Sponsored Free Healthcare Events

## **Background**

At the December 2015 Teleconference meeting, Lygia Jolley, CDHA President asked the Committee to revisit the application requirements for out-of-state licensees who apply to volunteer their services in free health care sponsored events offered in California.

Staff reviewed the regulation and made some suggested changes to the application and the regulation relative to the requirement to administer local anesthesia, nitrous oxide-oxygen sedation, and soft tissue curettage (SLN) and the electronic (Livescan) fingerprinting requirement. Under current law, out-of-state applicants can only be livescan fingerprinted in the State of California. Therefore, the option of being ink rolled on a hard card is an option for background checks. Additionally, the education in SLN is not comparable in other states as to what is taught in California.

# **Committee Action Requested**

$\square$ Discuss and take action to approve the proposed regulatory language as amended, and
direct staff to take all necessary steps to initiate the formal rulemaking process and set the
proposed regulations for a 45-day public hearing, and authorize the Executive Officer to
make any non-substantive changes to the rulemaking package.

# Title 16. Dental Hygiene Committee of California Department of Consumer Affairs

Amend Sections 1151 and 1153 of Division 11 of Title 16 of the California Code of Regulations, to read as follows:

#### Article 13.

# Sponsored Free Health Care Events—Requirements for Exemption.

# §1151. Out-of-State Practitioner Authorization to Participate in Sponsored Event.

- (a) Request for Authorization to Participate. An out-of-state practitioner ("applicant") may request authorization from the Committee to participate in a sponsored event and provide such health care services at the sponsored event as would be permitted if the applicant were licensed by the Committee to provide those services. An applicant shall request authorization by submitting to the Committee a completed "Request for Authorization to Practice Without a License at a Registered Free Health Care Event" Form DHCC 901-B (07/2012) (5/2016), which is hereby incorporated by reference, accompanied by a nonrefundable, non-transferable processing fee of \$86.00. The applicant shall also furnish electronic fingerprints to establish the identity of the applicant and to permit the Committee to conduct a criminal history record check. The applicant shall pay any costs for furnishing the fingerprints and conducting the criminal history record check.
- (b) Response to Request for Authorization to Participate. Within 20 calendar days of receiving a completed request for authorization, the Committee shall notify the sponsoring entity or local government entity administering the sponsored event whether that request is approved or denied. (c) Denial of Request for Authorization to Participate.
  - (1)The Committee shall deny a request for authorization to participate if:
    - (A) The submitted "Request for Authorization to Practice Without a License at a Registered Free Health Care Event" Form DHCC 901-B (07/2012) (5/2016) is incomplete and the applicant has not responded timely to the Committee's request for additional information.
    - (B) The applicant does not possess a current active and valid license in good standing.
    - (C) The applicant has not satisfactorily completed a course of instruction, approved by the Committee, in the following procedures, if these procedures are to be performed, and the sponsoring entity will not be providing an appropriately licensed or authorized dentist for direct supervision of these procedures:
      - (i) Periodontal Soft-tissue curettage.
      - (ii) Administration of local anesthetic agents.
      - (iii) Administration of nitrous oxide and oxygen, whether administered alone or in combination with each other.
    - (D) The applicant has failed to comply with a requirement of this article or has committed any act that would constitute grounds for denial of an application for licensure by the Committee.
    - (E) The Committee has been unable to obtain a timely report from a criminal history records check.
    - (F) The applicant was previously denied an application to practice dentistry, dental hygiene, or dental assisting in this state.
  - (2) The Committee may deny a request for authorization to participate if:
    - (A) The request is received less than 20 calendars days before the date on which the sponsored event will begin.
    - (B) The applicant has been previously denied a request for authorization by the Committee to participate in a sponsored event.
    - (C) The applicant has previously had an authorization to participate in a sponsored event terminated by the Committee.
    - (D) The applicant has participated in three (3) or more sponsored events during the 12 month period immediately preceding the current application.
- (d) Appeal of Denial. An applicant requesting authorization to participate in a sponsored event may appeal the denial of such request by following the procedures set forth in section 1152.

# §1153. Additional Practice Requirements for Out-of-State Practitioners Authorized to Participate in Sponsored Free Health Care Events.

- (a) Each out-of-state practitioner authorized to participate in a sponsored event and provide dental hygiene services at the sponsored event pursuant to section 1154 shall provide a written notice to each patient or prospective patient prior to performing any services. This notice shall be in at least 12 point font, and include, at a minimum, the following information:
  - (1) The state, district, or territory where the out-of-state practitioner is licensed in good standing;
  - (2) The name of each governmental agency that has issued the out-of-state practitioner a license to practice dental hygiene and the effective dates of each license;
  - (3) The out-of-state practitioner's license number(s);
  - (4) The dates the out-of-state practitioner is authorized to practice by the Committee; and
  - (5) A disclosure that states: "The Dental Hygiene Committee of California has only authorized me to provide services under my license from another and only at this free health care event for a period not to exceed ten (10) days."
  - (6) If the out-of-state practitioner is not authorized by the Committee to perform the administration of local anesthetic, nitrous oxide-oxygen sedation, and periodontal soft tissue curettage, the information must be disclosed in the written notice to each patient.
- (b) This notice required by this Section shall be provided separate and apart from all other notices or authorizations that a patient may be given or required to sign and shall be retained by the patient.

NOTE: Authority cited: Sections 901 and 1906, Business and Professions Code. Reference: Section 901, Business and Professions Code.



#### **DENTAL HYGIENE COMMITTEE OF CALIFORNIA**

2005 Evergreen Street, Suite 2050, Sacramento, CA 95815 P (916) 263-1978 F (916) 263-2688 | www.dhcc.ca.gov



# REQUEST FOR AUTHORIZATION TO PRACTICE WITHOUT A LICENSE AT A REGISTERED FREE HEALTH CARE EVENT

In accordance with California Business and Professions Code Section 901 any dental hygienist licensed/certified and in good standing in another state, district, or territory in the United States may request authorization from the Dental Hygiene Committee of California (Committee) to participate in a free health care event offered by a sponsoring entity, registered with the Committee pursuant to Section 901, for a period not to exceed ten (10) days. The Committee may deny requests for authorization received less than twenty (20) thirty (30) calendar days before the date on which the sponsored event will begin.

#### **PART 1 - APPLICATION INSTRUCTIONS**

An application must be complete and must be accompanied by all of the following:

- A processing fee of \$86.00, made payable to the Dental Hygiene Committee of California.
- A copy of each current, active and valid license and/or certificate authorizing the applicant to engage in the practice of dental hygiene issued by any state, district, or territory of the United States.
- A copy of a valid photo identification of the applicant issued by one of the jurisdictions in which the applicant holds a license or certificate to practice.
- Copies of Certificates of Completion showing at least 25 units of continuing education including current CPR, taken within two years of the date of this application.
- Any documents or statements requested on this application.
- Live Scan Fingerprints. Fingerprints must shall be done through-electronic Live Scan or ink on cards. Live Scan is available only in California, for either residents or visitors. You must download the Live Scan form from the DHCC website at this link www.dhcc.ca.gov/formspubs/form livescan rdh.pdf to complete and take to the Live Scan service location in California. A list of the Live Scan locations can be found at http://ag.ca.gov/fingerprints/publications/contact.php. You can take the completed form to the service location of your choice, pay a fee and your fingerprints are taken on a glass without ink. Your fingerprints are transmitted electronically to the Department of Justice (DOJ), who sends the resulting report to the Committee. There is a low rate of rejections with this method. You must submit the completed copy of the livescan form completed by yourself and the livescan operator as evidence of being live scan fingerprinted along with this application.
- Ink on Cards. If you are not able to come to California, you may contact the Committee to obtain California fingerprint cards. Other States' resident Ink fingerprint cards will not be accepted. Be sure to type or print legibly in black ink all the areas on both cards asking for personal information, that the cards are dated and signed by the official taking the fingerprints, and have your signature on them.

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The Committee will not grant authorization until this form has been completed in its entirety, all required enclosures have been received by the Committee, and any additional information requested by the Committee has been provided by the applicant and reviewed by the Committee, and a determination has been made to grant authorization.

The Committee shall process this request and notify the sponsoring entity listed in this form if the request is approved or denied within 20 30-60 calendar days of receipt. If the Committee requires additional or clarifying information, the committee will contact you directly. Written approval or denial of requests will be provided directly to the sponsoring entity and to the applicant.

PART 2 – NAME AND CONTACT INFORMATION				
1. Applicant Name: First Middle	Last			
2. Social Security Number:	Date of Birth:			
3. Applicant's Contact Information*:				
Address Line 1	Phone			
Address Line 2	Alternate Phone			
City, State, Zip	E-mail address			
(*If an authorization is issued, this address infor of record" with the Committee and will be made	•			
4. Applicant's Employer :				
Employer's Contact Information:				
Address Line 1	Phone			
Address Line 2	Facsimile			
City, State, Zip	E-mail address (if available)			

# PART 3 – LICENSURE INFORMATION

1. Do you hold a current license, certification, or registration issued by a state, district, or territory of the United States authorizing the unrestricted practice of dental hygiene in your jurisdiction(s)?

No	If no, you are <u>not</u> eligible to participate as an out-of-state practitioner in the sponsored event.							
Yes	If yes, list every license, certificate, and registration authorizing you to engage in the practice of dental hygiene in the following table. If there are not enough boxes to include all the relevant information please attach an addendum to this form. Please also attach a copy of each of your current licenses, certificates, and registrations.							
State/ Jurisdiction	Issuing Agency/Authority	License Number	Expiration Date					
- Cariodiction	looding Agonoy/Admonty	License Namber	Expiration bute					
Section 11516 and oxygen a f Yes, provide No, you may ther requirement ne scheduled e  CONTINU CPR certificat	ING EDUCATION. Provide copies of tion. Do not send originals.	rettage, administration ents (SLN)? Yes mittee-approved course. red free health care even of be allowed to perform certificates of complet	of nitrous oxide No It if you meet all the SLN duties at ion including					
	errently the subject of any investigation and investigation and investigation of the circum							
	ever had charges filed against a dent n the past, including charges that are		t you currently No					
f yes, provide a detailed explanation and a copy of the documents relating to the filing of charges.								
6. Have you e nealing arts li	ever had any disciplinary action taken cense?	ı against a dental hygie	ene license or other					
discipline, cor against a den	ealing arts license? Yes Ino  Disciplinary action includes, but is not limited to, suspension, revocation, probation, confidential iscipline, consent order, letter of reprimand or warning, or any other restriction or action taken gainst a dental hygiene license. If yes, provide a detailed explanation and a copy of all ocuments relating to the disciplinary action.							

7. Have you ever surrendered a dental hygiene license, either voluntary or otherwise?

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Yes No If yes, provide a detailed explanation and a copy of all documents relating to the surrender.
8. Have you ever been the subject of a malpractice settlement or judgment?  Yes NO
If yes, provide a detailed explanation of the circumstances and outcome relating to the malpractice settlement of judgment. You may be required to provide additional information after review of your explanation.
<b>IMPORTANT REQUIREMENT</b> : If a disciplinary action is filed against any license you
currently hold pending the Committee's decision on this application for authorization, you must notify the Committee in writing within 48 hours.
9. With the exception of conviction of an infraction resulting in a fine of less than \$1,000, have you ever been convicted of any crime, including an infraction, misdemeanor or felony? Yes No
"Conviction" includes a plea of no contest and any conviction that has been set aside
pursuant to Section 1203.4 of the Penal Code. Therefore, you must disclose any
convictions in which you entered a plea of no contest and any convictions that were subsequently set aside pursuant to Section 1203.4 of the Penal Code.
10. Do you have a current physical or mental impairment related to drugs or alcohol? Yes No
11. Have you been adjudicated by a court to be mentally incompetent or are you currently under a conservatorship? Yes No
If yes, provide a detailed explanation of the circumstances, date and time of the court order or the duration of the conservatorship.
PART 4 – SPONSORED EVENT
Name of non-profit or community-based organization hosting the free healthcare event (the "sponsoring entity"):
2. Name of event:
3. Date(s) & location(s) of the event:
4. Date(s) & location(s) applicant will be performing healthcare services (if different):
5. Please specify the healthcare services you intend to provide:

6. Name and phone number of contact person with sponsoring entity:	
PART 5 – ACKNOWI EDGMENT/CERTIFICATION	

I, the undersigned, declare under penalty of perjury under the laws of the State of California and acknowledge that:

- I will comply with all applicable practice requirements required of licensed dental hygienists and all regulations of the Committee.
- In accordance with Business and Professions Code Section 901(i), I will only practice within the scope of my licensure and/or certification and within the scope of practice for California-licensed dental hygienists.
- I will provide the services authorized by this request and Business and Professions Code Section 901 to uninsured and underinsured persons only and shall receive no compensation for such services.
- I will provide the services authorized by this request and Business and Professions Code Section 901 only in association with the sponsoring entity listed herein and only on the dates and at the locations listed herein for a period not to exceed 10 calendar days.
- I am responsible for knowing and complying with California law and practice standards while participating in a sponsored event located in California.
- Practice of a regulated profession in California without proper licensure and/or authorization may subject me to potential administrative, civil and/or criminal penalties.
- The Committee may notify the licensing authority of my home jurisdiction and/or other appropriate law enforcement authorities of any potential grounds for discipline associated with my participation in the sponsored event.
- I have read the questions in the foregoing application and that all information provided by me in this application is true and complete to the best of my knowledge. By submitting this application and signing below, I am granting permission to the Committee to verify the information provided and to perform any investigation pertaining to the information I have provided as the Committee deems necessary.

My signature on this application, or copy thereof, authorizes the National Practitioner Data Bank to release any and all information required by the Dental Hygiene Committee of California.

Signature	Date	
Name Printed:		

**NOTE**: Authorization will not be issued until clearance has been received from the California Department of Justice and the Federal Bureau of Investigation.

# NOTICE OF COLLECTION OF PERSONAL INFORMATION

Disclosure of your personal information is mandatory. The information on this application is required pursuant to Title 16, California Code of Regulations Section 1023.17 and Business and Professions Code section 901. Failure to provide any of the required information will result in the form being rejected as incomplete or denied. The information provided will be used to determine compliance with Article 8 of Division 10 of Title 16 of the California Code of Regulations (beginning at Section 1023.15). The information collected may be transferred to other governmental and enforcement agencies. Individuals have a right of access to records containing personal information pertaining to that individual that are maintained by the Board, unless the records are exempted from disclosure by Section 1798.40 of the Civil Code. Individuals may obtain information regarding the location of his or her records by contacting the Executive Officer at the Committee at the address and telephone number listed above.



**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 6

Discussion and Possible Action to Initiate Rulemaking to Amend California Code of Regulations (CCR), Title 16, Section 1107, RDH Course in Local Anesthesia, Nitrous Oxide – Oxygen Analgesia, and Periodontal Soft Tissue Curettage

GOVERNOR EDMUND G. BROWN JR

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



# MEMORANDUM

DATE	May 6, 2016
то	Legislative and Regulatory Subcommittee Meeting
FROM	Lori Hubble, Executive Officer
SUBJECT	<b>LEG 6 –</b> Discussion and Possible Action to Initiate Rulemaking to Amend CCR, Title 16, Section 1107, RDH Course in Local Anesthesia, Nitrous Oxide - Oxygen Analgesia and Periodontal Soft Tissue Curettage

# **Background**

CCR Section 1107 became operative in September 2014.

The attached amended regulation is intended to provide further clarification to the requirements for the educational course in soft tissue curettage, nitrous oxide-oxygen sedation, and administration of local anesthesia.

# **Committee Action Requested**

☐ Discuss and take action to approve the proposed regulatory language as amended and
direct staff to take all necessary steps to initiate the formal rulemaking process and set the
proposed regulations for a 45-day public hearing, and authorize the Executive Officer to
make any non-substantive changes to the rulemaking package.

## Title 16. Dental Hygiene Committee of California Department of Consumer Affairs

Amend § 1107 of Division 11 of Title 16 of the California Code of Regulations, to read as follows:

# Add into Article 3 Educational Programs

## § 1107. RDH Course in Local Anesthesia, Nitrous Oxide-Oxygen Sedation, Analgesia and Periodontal Soft Tissue Curettage.

- (a) Approval of Course. The Committee shall approve only those educational courses of instruction in local anesthetic, nitrous oxide-oxygen analgesia sedation, and periodontal soft tissue curettage that continuously meet all course requirements. Continuation of approval will be contingent upon compliance with these requirements.
  - (1) A course in local anesthesia, nitrous oxide-oxygen analgesia sedation, and periodontal soft tissue curettage is a course that provides instruction in the following duties:
    - (A) Administration of local anesthetic agents <u>for nerve blocks</u>, <u>supraperiosteal</u>, <u>and intraseptal</u> <u>injections</u> <u>infiltration</u>, <u>and conductive</u>, limited to the oral cavity;
    - (B) Administration of nitrous oxide and oxygen when used as an analgesic; utilizing fail-safe machines with scavenger systems containing no other general anesthetic agents; and
    - (C) Periodontal soft tissue curettage.
- (2) An applicant course provider shall submit an "Application for Approval of a Course in Local Anesthesia, Nitrous Oxide-Oxygen Analgesia sedation, and Periodontal Soft Tissue Curettage" (DHCC SLN-01 12/2013-5/2016) hereby incorporated by reference, accompanied by the appropriate fee, and shall receive approval prior to enrollment of students.
- (3) All courses shall be at the postsecondary educational level.
- (4) Each approved course shall be subject to review by the Committee at any time.
- (5) Each approved course shall submit a biennial report "Report of a Course in Local Anesthesia, Nitrous Oxide-Oxygen Analgesia Sedation, and Periodontal Soft Tissue Curettage" (DHCC SLN-03 09/2013) hereby incorporated by reference.
- (b) Requirements for Approval.

In order to be approved, a course shall provide the resources necessary to accomplish education as specified in this section. Course providers shall be responsible for informing the Committee of any changes to the course content, physical facilities, and faculty, within 10 days of such changes.

(1) Administration. The course provider shall require course applicants to possess current certification in Basic Life Support for health care providers as required by Title 16, Division 10, Chapter 1, Article 4, Section 1016 (b)(1)(C) of the California Code of Regulations in order to be eligible for admission to the course, and one of the following:

- (A) Possess a valid active license to practice dental hygiene issued by the Committee; or,
- (B) Have graduated from an educational program for dental hygienists approved by the Commission on Dental Accreditation or an equivalent accrediting body approved by the Committee; or
- (C) Provide a letter of certification from the dean or program director of an educational program accredited by the Commission on Dental Accreditation that the course applicant is in his or her final academic term and is expected to meet all educational requirements for graduation. The school seal must be affixed to the letter with the name of the program.
- (2) Faculty. Pre-clinical and clinical faculty, including course director and supervising dentistry, shall:
  - (A) Possess a valid, active California license to practice dentistry or dental hygiene for at least two (2) years immediately preceding any provision of course instruction;
  - (B) Provide pre-clinical and clinical instruction only in procedures within the scope of practice of their respective licenses.
  - (C) Complete an educational methodology course immediately preceding any provision of course instruction and every two years thereafter; and,
  - (D) Be calibrated in instruction and grading by the course provider.
- (3) Facilities and Equipment. Pre-clinical and clinical instruction shall be held at a physical facility. Physical facilities and equipment shall be maintained and replaced in a manner designed to provide students with a course designed to meet the educational objectives set forth in this section. A physical facility shall have all of the following:
  - (A) A lecture classroom, a patient clinic area, a sterilization facility and a radiology area for use by the students.
  - (B) Access for all students to equipment necessary to develop dental hygiene skills in these duties.
  - (C) Infection control equipment shall be provided according to the requirements of CCR Title 16, Division 10, Chapter 1, Article 1, Section 1005.
  - (D) At least one complete nitrous oxide-oxygen unit shall be provided for each six (6) students enrolled in the course and shall include a fail-safe flowmeter, functional scavenger system and disposable or sterilizable nasal hoods for each laboratory partner or patient. All tubing, hoses and reservoir bags shall be maintained and replaced at regular intervals to prevent leakage of gases. When not attached to a nitrous oxide-oxygen unit, all gas cylinders shall be maintained in an upright position, secured with a chain or in a cart designed for storage of gas cylinders.
- (4) Health and Safety. A course provider shall comply with local, state, and federal health and safety laws and regulations.

- (A) All students shall have access to the course's hazardous waste management plan for the disposal of needles, cartridges, medical waste and storage of oxygen and nitrous oxide tanks.
- (B) All students shall have access to the course's clinic and radiation hazardous communication plan.
- (C) All students shall receive <u>have access to</u> a copy of the course's bloodborne and infectious diseases exposure control plan, which shall include emergency needlestick information.
- (5) Clinical Education. As of January 1, 2016, each course's clinical training shall be given at a dental or dental hygiene school or facility approved by the Committee, which has a written contract for such training. Such written contract shall include a description of the settings in which the clinical training may be received and shall provide for direct supervision of such training by faculty designated by the course provider; and proof of liability insurance. A facility shall not include a dental office unless such office is an extramural facility of an educational program approved by the Committee.
- (6) Recordkeeping. A course provider shall possess and maintain the following for a period of not less than 5 years:
  - (A) A copy of each approved curriculum, containing a course syllabus.
  - (B) A copy of completed written examinations, clinical rubrics, and completed competency evaluations.
  - (C) A copy of faculty calibration plan, faculty credentials, licenses, and certifications including documented background in educational methodology immediately preceding any provision of course instruction and every two years thereafter.
  - (D) Individual student records, including those necessary to establish satisfactory completion of the course.
  - (E) A copy of <u>each</u> student's course evaluations, and a summation thereof a summary of the course content after completion of each course, and a summary of those evaluations.
- (7) Curriculum Organization and Learning Resources.
  - (A) The organization of the curriculum for the course shall be flexible, creating opportunities for adjustments to and research of advances in the administration of local anesthetic, nitrous oxide-oxygen analgesia sedation, and periodontal soft tissue curettage as provided in the section of this article on Requirements for RDH Programs.
  - (B) Curriculum shall provide students with an understanding of these procedures as provided in the section of this article on Requirements for RDH Programs and an ability to perform each procedure with competence and judgment.
  - (C) Curriculum shall prepare the student to assess, plan, implement, and evaluate these procedures as provided and in accordance with the section of this article on Requirements for RDH Programs.

- (D) Curriculum shall include a remediation policy, and procedures outlining course guidelines for students who fail to successfully complete the course.
- (E) Students shall be provided a course syllabus that contains:
  - (i) Course learning outcomes,
  - (ii) Titles of references used for course materials,
  - (iii) Content objectives,
  - (iv) Grading criteria which includes competency evaluations and clinic standards rubrics to include problem solving and critical thinking skills that reflect course learning outcomes, and
  - (v) A remediation policy and procedures.
- (F) Students shall have reasonable access to dental and medical reference textbooks, current scientific journals, audio-visual materials and other relevant resources.
- (8) General Curriculum Content. Areas of didactic, preclinical and clinical instruction shall include:
  - (A) Indications and contraindications for all patients of:
    - (i) periodontal soft tissue curettage;
    - (ii) administration and reversal of local anesthetic agents;
    - (iii) administration of nitrous oxide-oxygen analgesia agents
  - (B) Head and neck anatomy;
  - (C) Physical and psychological evaluation procedures;
  - (D) Review of body systems related to course topics;
  - (E) Theory and psychological aspects of pain and anxiety control; <u>including "American</u> Academy of Pediatric Dentistry" Guidelines on use of local anesthesia for Pediatric Dental Patients" (2015) hereby incorporated by reference;
  - (F) Selection of pain control modalities;
  - (G) Pharmacological considerations such as action of anesthetics and vasoconstrictors, local anesthetic reversal agents and nitrous oxide-oxygen analgesia-sedation;
  - (H) Recovery from and post-procedure evaluation of periodontal soft tissue curettage, local anesthesia and nitrous oxide/oxygen analgesia sedation;
  - (I) Complications and management of periodontal soft tissue curettage, local anesthesia and nitrous oxide-oxygen analgesia sedation emergencies;
  - (J) Armamentarium required and current technology available for local anesthesia, nitrous

oxide-oxygen analgesia-sedation, and periodontal soft tissue curettage;

- (K) Techniques of administration of maxillary and mandibular <del>local infiltrations, field blocks and nerve blocks, supraperiosteal, and intraseptal injections, nitrous oxide-oxygen analgesia sedation, and performance of periodontal soft tissue curettage;</del>
- (L) Proper infection control procedures according to the provisions of Title 16, Division 10, Chapter 1, Article 4, Section 1005 of the California Code of Regulations;
- (M) Patient documentation that meets the standard of care, including, but not limited to, computation of maximum recommended dosages for local anesthetics and the tidal volume, percentage and amount of the gases and duration of administration of nitrous oxide-oxygen analgesia sedation;
- (N) Medical and legal considerations including patient consent, standard of care, and patient privacy;
- (O) Student Course evaluation mechanism for students to provide feedback on the course upon completion of the course.
- (9) Specific Curriculum Content.
  - (A) Local anesthetic agents curriculum must include at least thirty (30) hours of instruction, including at least fifteen (15) hours of didactic and preclinical instruction and at least fifteen (15) hours of clinical instruction.
  - (i) Pre-clinical instruction shall be on a human subject. Instruction shall include a minimum of two (2) experiences per injection, which may be on another student. The student must be competent in administering injections on the left and right side of the mouth.
  - (ii) Clinical instruction must include at least four (4) clinical experiences per injection on four different patients, of which only one may be on another student. The student must be competent in administering injections on the left and right side of the mouth.
  - (iii) Curriculum must include maxillary and mandibular anesthesia techniques for local infiltration, field block and nerve block to include anterior superior alveolar (ASA) nerve block (infraorbital), middle superior alveolar nerve block (MSA), anterior middle superior alveolar nerve block (AMSA), posterior superioralveolar nerve block (PSA), greater palantine nerve block, nasopalantine (P-ASA) nerve block, supraperiosteal, inferior alveolar nerve block (to include Gow Gates technique), lingual nerve block, buccal nerve block, mental nerve block, incisive nerve block and intraseptal injections. nerve blocks, supraperiosteal, and intraseptal injections. These injections include the anterior superior alveolar (ASA) nerve block, infraorbital (IO) nerve block, middle superior alveolar (MSA) nerve block, anterior middle superior alveolar (AMSA) nerve block, greater palatine (GP) nerve block, nasopalatine (NP), nerve block, inferior alveolar (IA) nerve block, lingual nerve block, buccal nerve block, mental nerve block, and incisive nerve block. Curriculum must also include maxillary and mandibular supraperiosteal and intraseptal injections.

- (iv) Didactic instruction of the inferior alveolar (IA) nerve block shall include instruction in administration of the IA using the Gow-Gates technique.
- (v) One clinical experience per injection shall be used to determine clinical competency in the course. The competency evaluation for each injection and technique must be achieved at a minimum of 75%.
- (B) Nitrous oxide-oxygen analgesia sedation curriculum must include at least eight fourteen (8) (14) hours of instruction, including at least four (4) six (6) hours of didactic and preclinical instruction and at least four (4) eight (8) hours of clinical instruction. This includes at least two (2) preclinical experiences on patients, both of which may be on another student, and at least three (3) four (4) clinical experiences on patients, of which only one may be on another student and one of which will be used to determine clinical competency in the course. Each clinical experience shall include the performance of a dental hygiene procedure while administering at least twenty (20) minutes of nitrous oxide-oxygen analgesia sedation. The competency evaluation must be achieved at a minimum of 75%.
- (C) Periodontal soft tissue curettage curriculum must include at least six (6) hours of instruction, including at least three (3) hours of didactic and preclinical instruction and at least three (3) hours of clinical instruction. Education may include use of a laser approved for soft tissue curettage. This includes at least three (3) clinical experiences on patients, of which only one may be on another student and one of which will be used to determine clinical competency in the course. The competency evaluation for this procedure must be achieved at a minimum of 75%.
- (10) Certificate of Completion. A course provider shall issue a certificate of completion "Certification in Administration of Local Anesthesia, Nitrous Oxide-Oxygen Analgesia-Sedation, and Periodontal Soft Tissue Curettage (DHCC SLN-02 09/2013), hereby incorporated by reference, only after a student has achieved clinical competency of the three procedures-the minimum competency level of 75% for each competency evaluation given for each of the three procedures.
- (C) Appeals.
- (1) The Committee may deny or withdraw its approval of a course. If the Committee denies or withdraws approval of a course, the reasons for withdrawal or denial will be provided in writing within ninety (90) days.
- (2) Any course provider whose approval is denied or withdrawn shall be granted an informal conference before the Executive Officer or his or her designee prior to the effective date of such action. The course provider shall be given at least ten days' notice of the time and place of such informal conference and the specific grounds for the proposed action.
- (3) The course provider may contest the denial or withdrawal of approval by either:
  - (A) Appearing at the informal conference. The Executive Officer shall notify the course provider of the final decision of the Executive Officer within ten days of the informal conference. Based on the outcome of the informal conference, the course provider may then request a hearing to contest the Executive Officer's final decision. A course provider shall

request a hearing by written notice to the Committee within 30 calendar days of the postmark date of the letter of the Executive Officer's final decision after informal conference. Hearings shall be held pursuant to the provisions of Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code. Or;

(B) Notifying the Committee in writing the course provider's election to forego the informal conference and to proceed with a hearing pursuant to the provisions of Chapter 5 commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code. Such notification shall be made to the Committee before the date of the informal conference.

Note: Authority cited: Sections 1905, 1906, 1909 and 1944, Business and Professions Code. Reference: Sections 1905 1909, 1917 and 1944, Business and Professions Code.



#### BUSINESS, CONSUMER SERVICES, AND HOUSING AGENCY . GOVERNOR EDMUND G. BROWN JR.

DENTAL HYGIENE COMMITTEE OF CALIFORNIA 2005 Evergreen Street Suite 1050 2050, Sacramento, CA 95815 P (916) 263-1978 | F (916) 263-1978 | www.dhcc.ca.gov



#### **Application for Approval of Course in** Local Anesthesia, Nitrous Oxide-Oxygen Sedation, Analgesia and **Periodontal Soft Tissue Curettage**

Business & Professions (	Code §1909, 16 CCR	§1107.		
Non-Refundable Fee: \$300 (Must accompany application)	Receipt Date filed Approved RP#	RC \$ Denied		
Course Provider , Email Address		Phone Nu	mber	
Name and Title of Course Director				
Affiliated Dental Hygiene or Dental Program				
Mailing Address of Course Provider*	City	State	Zip	
Clinical Facility Address (if different from above)	City	State	Zip	
*Course provider mailing address is public. If you wish address and be sure to specify that the physical address				
Requirements for Course A course must be approved prior to operation. Experience to inspect to inspect to inspect to withdraw approval at any time that it determines to Course providers must inform the Committee of a facilities within 10 days.	the Committee at any that a course does no	time. The Comm meet the require	ittee may ments of the	law.
Will the course provide instruction in administration administration of nitrous oxide-oxygen used as an other general anesthetic agents, and periodontal	n analgesic utilizing fa	il-safe type machi	ines containii	ng no
2. Will the course be established at or contracted Include your written contract and if applicable, the			Yes	no facility

#### 3. Course Faculty Information

Name	License	License	License	Dat	e of	
	Type	#	Expiration	Teac		
				Educa		
				Metho	dology	
_						
Course director and clinical and preyears. If faculty listed above has every or dentistry, a license certification is methodology for all faculty and faculty.	ver been license s required. Attac ulty calibration pl	d in another state ch copies of each lan.	e or territory to pr license and prod	actice dental of of education	hygiene n in educational	
<ol> <li>Will there be a lecture classroom map indicating each of these areas</li> </ol>		rea and radiology	rarea for use by	Students? At	No	
				165		
5. Will all students have access to taught? Attach a list.	equipment nece	essary to develop	dental hygiene s	kills in the du	ties being	
laught: Allaon a list.				Yes	No	
6. Will all students have access to t medical waste, storage of nitrous or communication plan? Attach a copy	xide and oxyger	n tanks and the co	ourse's clinic and	radiation haz	ardous	
plan.				Yes	No	
7. Will all students receive a copy of the emergency needlestick informa				re control plar	n, including	
the emergency needlestick informa	IIOIT: Allacit a ci	opy as provided t		Yes	No	
8. Will the course clearly state curriculum subject matter, specific instruction hours in the individual areas of didactic, pre-clinical and clinical instruction, and include written course and specific instructional learning outcomes that will be accomplished within the framework of the course, including theoretical aspects of each subject as well as practical application in accordance with Title 16, California Code of Regulations §1107 and a copy be provided to students? Attach a copy of curriculum, including student evaluation mechanism and						
remediation policy and procedures.				Yes	No	
9. Will the course's duration allow a administration of nitrous oxide-oxyg						
course schedule.				Yes	No	

pre-clinical instruction and at least 3 hours of clinical instruction that includes a mir experiences on three different patients of which only one may be on another stude	nimum of 3 (three	
	Yes	No
<ul> <li>11. Will instruction in the administration of local anesthetic agents total at least 30 least 15 hours of didactic and pre-clinical instruction and at least 15 hours of clinical includes a minimum of 2 preclinical experiences per injection which may be on an elinical experiences on four different patients of which only one may be on another pre-clinical instruction shall be on a human subject and shall include a material per injection which may be on another student</li> <li>clinical instruction must include at least 4 clinical experiences per injection another student?</li> </ul>	cal instruction the nother student are er student? <u>and</u> inimum of 2 expe	at nd 4 eriences
	Yes	No
12. Will instruction in the administration of nitrous oxide-oxygen total at least 8– 14 hours of didactic and pre-clinical instruction and 4– 8 hours of clinical instruction to clinical experiences on 3–4 different patients of which only one may be on another	hat includes a m	
	Yes	No
13. Specify the total number of hours for all three areas within the course that will listed below:	be taught in the	categories
Didactic Pre-clinical		
Clinical		
14. Will continuing education (CE) be offered for this course? If yes, provide your of education provider number below.	California continu	uing
	Yes	No
Recordkeeping		
15. Will you retain for at least 5 years copies of curriculum, syllabi, exams, sample rubrics, copies of faculty credentials, faculty calibration plan and individual student course evaluations and summations thereof a summary of all evaluations after cocourse pursuant to Title 16, California Code of Regulations §1107(b)(6)?	records including	ıg
	Yes	No
16. Will each student be issued a certificate of successful completion after achieve 75% in each clinical competency and has been deemed competent in each of the		
	Yes	No

Acknowledgement 17. Have you reviewed Business & Professions Code §1909 and Title 16, of Regulations?	Division 11 of the California Code
	Yes No
18. Do you agree to abide by the requirements set forth in Business & Pro 16, Division 11 of the California Code of Regulations? Do you acknowledge in loss of course approval?	
III loos of scales application	Yes No
The Committee may approve or deny approval of any course. If the Commourse, the reasons for denial will be provided in writing within 90 days.	mittee denies approval of a
Certification I certify under the penalty of perjury under the laws of the State of Californ the application are true and correct.	ia that the statements made in
Signature of Course Director or designee	Date
Printed Name of Course Director or designee	

#### INFORMATION COLLECTION AND ACCESS

The information requested herein is mandatory and is maintained by the Dental Hygiene Committee of California, 2005 Evergreen Street, Suite 1050-2050, Sacramento, CA 95815, Executive Officer, 916-263-1978, in accordance with Business & Professions Code, §1900 et seq. The information requested will be used to determine eligibility. Failure to provide all or any part of the requested information will result in the rejection of the application as incomplete. Each individual has the right to review his or her own personal information maintained by the agency as set forth in the Information Practices Act unless the records are exempt from disclosure. Applicants are advised that the names(s) and address(es) submitted may, under limited circumstances, be made public.

#### **DENTAL HYGIENE COMMITTEE OF CALIFORNIA**

# CERTIFICATION IN ADMINISTRATION OF LOCAL ANESTHESIA, NITROUS OXIDE-OXYGEN ANALGESIA , AND PERFORMANCE OF PERIODONTAL SOFT TISSUE CURETTAGE

PLEASE TYPE OR PRINT COURSE PARTICIPANT NAME			
LAST	FIRST	MIDDLE	DATE OF BIRTH
ADDRESS			
CITY		STATE	ZIP
LIONE BUONE	CELL BUIGNE		Tease apprece
HOME PHONE	CELL PHONE		EMAIL ADDRESS
( )	( )		
DENTAL HYGIENE COMMITTE	E OF CALIFORNIA	(DHCC) COURSE PR	OVIDER
DATES OF COURSE			
DATES OF COOKSE			
-	-		e a P.O. Box, you must also provide a physical
address and be sure to specify th	at the physical add	ress is not to be used	as the address of record.)
CITY			STATE ZIP
PHONE			
THEME			
( )			
COURSE PROVIDER'S EMAIL ADD	RESS		
	DENIALTY OF D		LIE LANGO OF THE STATE OF
			HE LAWS OF THE STATE OF ESSFULLY COMPLETED A DHCC-
			ETENCY IN THE ABOVE LISTED DUTIES
PURSUANT TO CALIFORNIA	A CODE OF REG	ULATIONS §1107(b	, ,
			STAMP OR SEAL OF COURSE PROVIDER
PRINTED NAME OF COURSE INST	TRUCTOR OR DIREC	TOR	OR INSTITUTION
	Sit Sit Sit Line		<u> </u>
SIGNATURE			
DHCC SLN -02 (05/2016)			
5.100 3214 02 (03/2010)			



#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA





#### Local Anesthesia, Nitrous Oxide Sedation, and Periodontal Soft Tissue Curettage **Course Provider Biennial Report**

#### DATE:

COURSE PROVIDER	PROVIDER NO.
MAILING ADDRESS	PHONE NO.
NAME OF COURSE DIRECTOR	EMAIL ADDRESS
NAME OF COURSE	
NAME OF COORSE	
DATES COURSE OFFERED	NUMBER OF HOURS
NUMBER OF ATTENDEES	NUMBER OF CERTIFICATES ISSUED
CHANGES IN COURSE SINCE THE LAST BIENNIAL REPORT, OR, IF THE	S IS THE FIRST BIENNIAL REPORT,
SINCE COURSE APPROVAL.	

In completing this form, please consult the regulations governing courses in Local Anesthesia, Nitrous Oxide, and Periodontal Soft Tissue Curettage at Section 1107 of the California Code of Regulations.



Friday, May 6, 2016

**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 7

Discussion and Possible Action on Agendized Legislation: Assembly Bill (AB) 12, AB 648, AB 1868, AB 2701, and AB 2859; Senate Bill (SB) 1033, SB 1155, and SB 1217

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA TRACKED LEGISLATION MAY 2016 COMMITTEE MEETING

Bill No.	Author	Subject	Date of Introduction	Last Amended	Location	Status	Committee Position	Notes
Assembly Bill AB 12	Cooley	State Government: Administrative Regulations: Review	12/1/2014	8/19/2015	Senate Committee on Appropriations	8/27/15 - In committee: Held under submission.	WATCH	Repeal duplicative regulations
AB 648	Low	Health Care Access Demonstration Project Grants: Virtual Dental Home Program	2/24/2015	9/1/2015	Senate	9/9/15 - Ordered to inactive file at the request of Senator Monning.	WATCH	Establishes the Virtual Dental Home grant program at the California Health Facilities
AB 1868	Wagner	Regulations Legislative Notice	2/10/2016	N/A	Assembly Committee on Appropriations	Committee Hearing Date set April 27, 2016		Required to notify legislature if proposed regulations have an economic or fiscal impact.
AB 2701	Jones	DCA: Boards: Training Requirements	2/19/2016	N/A	Assembly Committee on Business and Professions	4/5/16 - Canceled first hearing at the request of the author		Board members must receive regulation training within 1 year of taking office
AB 2859	Low	Professions and Vocations: Retired Dategory: Licenses	2/19/2016	N/A	Assembly Committee on Appropriations	Committee Hearing Date set April 27, 2016		Authorizes any of the DCA entities to establish regulations for a retired category of licensure for persons not actively practicing
Senate Bill SB 1033	Hill	Medical Board: Disclosure of Probationary Status	2/12/2016	3/17/2016	Senate Committee on Appropriations	4/20/16 - Set for hearing April 25, canceled by Author		Notify notify patients of probationary status before visits take
SB 1155	Morrell	Professions and Vocations: Licenses: Military Service	2/18/2016	3/28/2016	Senate Committee on Appropriations	4/15/16 - Set for hearing April 25,		Grant a waiver for application & initial licensing fees to an honorably discharged veteran
SB 1217	Stone	Healing Arts: Reporting Requirements: Professional Liability Resulting in Death or Personal Injury	2/18/2016	4/12/2016	Senate Business, Professions and Economic Development	4/19/15 - Set for second hearing, failed passage in Senate Business and Economic Development Committee		Legislative reports posted on internet websites



#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Bill Number: AB 12 (2 Year Bill)	AUTHOR: Assembly Member COOLEY			
SPONSOR: Author	VERSION: AMENDED- August 19, 2015			
INTRODUCED: December 1, 2014	BILL STATUS : In Committee: Held under submission			
BILL LOCATION: Senate Appropriations Committee				
AGENDA ITEM: LEG 7 – AB 12 (Cooley)				
SUBJECT: State Government: Administrative Regulations: Review				

#### **SUMMARY**

This bill would require every state agency, department, board, bureau or other entity to review and revise regulations to eliminate inconsistent, overlapping, duplicative, and outdated provisions, and adopt the revisions as emergency regulations by January 1, 2018. Additionally, this bill would require the Business, Consumer Services, and Housing Agency to submit a report to the Governor and Legislature affirming compliance with these provisions. These provisions would be repealed by January 1, 2019. The Department does not anticipate this two year bill will be moving forward because of the overall fiscal impact.

#### **ANALYSIS**

Pursuant to the Administrative Procedure Act (APA), state agencies that promulgate regulations are required to provide notice to the public and accept public comments on the proposed regulations and then submit the final regulations to the Office of Administrative Law for legal review for compliance with the APA and approval. However, agencies often have outdated, duplicative, or overlapping regulations that are not automatically purged or updated upon the passage of new regulations. This bill seeks to remedy the issue.

In its amended version, this bill would not significantly impact the DHCC. Workload related to this bill would be performed by staff and is minor and absorbable.

#### SUPPORT/OPPOSITION

#### Support

- American Federation of State, County and Municipal Employees
- Associated Builders and Contractors of California
- Building Owners and Managers Association of California
- California Asian Pacific Chamber of Commerce
- California Association of Bed & Breakfast Inns
- California Building Industry Association
- California Business Properties Association
- California Business Roundtable
- California Chamber of Commerce
- California Construction and Industrial Materials Association
- California Grocers Association

- California Hotel & Lodging Association
- California League of Food Processors
- California Manufacturers & Technology Association
- California Retailers Association
- California Taxpayers Association
- Commercial Real Estate Development Association
- Consumer Specialty Products Association
- Family Business Association
- Industrial Environmental Association
- International Council of Shopping Centers
- National Federation of Independent Business/California
- Small Business California
- USANA Health Services, Inc.
- Western States Petroleum Association

#### Opposition:

None received.

#### **ATTACHED**:

1) AB 12 (Cooley) – Version: Amended – August 19, 2015

#### **COMMITTEE POSITION**

At the May 2015 meeting the Committee took a "watch" position on AB 12 (Cooley). The Legislative and Regulatory Subcommittee may consider maintaining the "watch" position and report the recommendation to the full Committee.

COMMITTEE POSITION: _		Support _	Oppose	 Neutral	
_	<u>X</u>	Maintain	Watch		

#### AMENDED IN SENATE AUGUST 19, 2015 AMENDED IN ASSEMBLY APRIL 22, 2015

CALIFORNIA LEGISLATURE—2015–16 REGULAR SESSION

#### ASSEMBLY BILL

No. 12

## Introduced by Assembly Member Cooley (Coauthors: Assembly Members Chang, Daly, and Wilk)

(Coauthor: Senator Huff)

December 1, 2014

An act to add and repeal Chapter 3.6 (commencing with Section 11366) of Part 1 of Division 3 of Title 2 of the Government Code, relating to state agency regulations.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 12, as amended, Cooley. State go vernment: administrative regulations: review.

Existing law authorizes various state entities to adopt, amend, or repeal regulations for various specified purposes. The Administrative Procedure Act requires the Office of Administrative Law and a state agency proposing to adopt, amend, or repeal a regulation to review the proposed changes for, among other things, consistency with existing state regulations.

This bill would, until January 1, 2019, require each state agency to, on or before January 1, 2018, review that agency's regulations, identify any regulations that are duplicative, overlapping, inconsistent, or out of date, to revise those identified regulations, as provided, and report to the Legislature and Governor, as specified.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

-2**AB 12** 

*The people of the State of California do enact as follows:* 1 SECTION 1. Chapter 3.6 (commencing with Section 11366) 2 is added to Part 1 of Division 3 of Title 2 of the Government Code, 3 to read: 4 5 Chapter 3.6. Regulatory Reform 6 7 Article 1. Findings and Declarations 8 9 11366. The Legislature finds and declares all of the following: 10 (a) The Administrative Procedure Act (Chapter 3.5 (commencing 11 with Section 11340), Chapter 4 (commencing with Section 11370), Chapter 4.5 (commencing with Section 11400), and Chapter 5 12 13 (commencing with Section 11500)) requires agencies and the 14 Office of Administrative Law to review regulations to ensure their 15 consistency with law and to consider impacts on the state's economy and businesses, including small businesses. 16 17 (b) However, the act does not require agencies to individually 18 review their regulations to identify overlapping, inconsistent, 19 duplicative, or out-of-date regulations that may exist. 20 (c) At a time when the state's economy is slowly recovering, 21 unemployment and underemployment continue to affect all 22 Californians, especially older workers and younger workers who 23 received college degrees in the last seven years but are still awaiting their first great job, and with state government improving but in 24 25 need of continued fiscal discipline, it is important that state 26 agencies systematically undertake to identify, publicly review, and 27 eliminate overlapping, inconsistent, duplicative, or out-of-date 28 regulations, both to ensure they more efficiently implement and 29 enforce laws and to reduce unnecessary and outdated rules and 30 regulations. 31 32

#### Article 2. Definitions

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11366.1. For the purposes of this chapter, the following definitions shall apply:

(a) "State agency" means a state agency, as defined in Section 11000, except those state agencies or activities described in Section 11340.9.

-3— AB 12

(b) "Regulation" has the same meaning as provided in Section 11342.600.

#### Article 3. State Agency Duties

- 11366.2. On or before January 1, 2018, each state agency shall do all of the following:
- (a) Review all provisions of the California Code of Regulations applicable to, or adopted by, adopted by that state agency.
- (b) Identify any regulations that are duplicative, overlapping, inconsistent, or out of date.
- (c) Adopt, amend, or repeal regulations to reconcile or eliminate any duplication, overlap, inconsistencies, or out-of-date provisions, and shall comply with the process specified in Article 5 (commencing with Section 11346) of Chapter 3.5, unless the addition, revision, or deletion is without regulatory effect and may be done pursuant to Section 100 of Title 1 of the California Code of Regulations.
- (d) Hold at least one noticed public hearing, that which shall be noticed on the Internet Web site of the state agency, for the purposes of accepting public comment on proposed revisions to its regulations.
- (e) Notify the appropriate policy and fiscal committees of each house of the Legislature of the revisions to regulations that the state agency proposes to make at least 30 days prior to initiating the process under Article 5 (commencing with Section 11346) of Chapter 3.5 or Section 100 of Title 1 of the California Code of Regulations.
- (g) (1) Report to the Governor and the Legislature on the state agency's compliance with this chapter, including the number and content of regulations the state agency identifies as duplicative, overlapping, inconsistent, or out of date, and the state agency's actions to address those regulations.
- (2) The report shall be submitted in compliance with Section 9795 of the Government Code.
- 11366.3. (a) On or before January 1, 2018, each agency listed in Section 12800 shall notify a department, board, or other unit within that agency of any existing regulations adopted by that department, board, or other unit that the agency has determined may be duplicative, overlapping, or inconsistent with a regulation

 $AB 12 \qquad \qquad -4 -$ 

adopted by another department, board, or other unit within that agency.

(b) A department, board, or other unit within an agency shall notify that agency of revisions to regulations that it proposes to make at least 90 days prior to a noticed public hearing pursuant to subdivision (d) of Section 11366.2 and at least 90 days prior to adoption, amendment, or repeal of the regulations pursuant to subdivision (c) of Section 11366.2. The agency shall review the proposed regulations and make recommendations to the department, board, or other unit within 30 days of receiving the notification regarding any duplicative, overlapping, or inconsistent regulation of another department, board, or other unit within the agency.

11366.4. An agency listed in Section 12800 shall notify a state agency of any existing regulations adopted by that agency that may duplicate, overlap, or be inconsistent with the state agency's regulations.

11366.45. This chapter shall not be construed to weaken or undermine in any manner any human health, public or worker rights, public welfare, environmental, or other protection established under statute. This chapter shall not be construed to affect the authority or requirement for an agency to adopt regulations as provided by statute. Rather, it is the intent of the Legislature to ensure that state agencies focus moræfficiently and directly on their duties as prescribed by law so as to use scarce public dollars more efficiently to implement the law, while achieving equal or improved economic and public benefits.

#### Article 4. Chapter Repeal

11366.5. This chapter shall remain in effect only until January 1, 2019, and as of that date is repealed, unless a later enacted statute, that is enacted before January 1, 2019, deletes or extends that date.







#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Bill Number: AB 648	AUTHOR: Assembly Member LOW			
SPONSOR: Author	VERSION: AMENDED- September 1, 2015			
INTRODUCED: February 24, 2015  BILL STATUS: 9/9/15 - Ordered to inactive file by Senator Monning.				
BILL LOCATION: Senate				
AGENDA ITEM: LEG 7- AB 648 (Low)				
SUBJECT: Health Care Access Demonstration Project Grants: Virtual Dental Home Program				

#### **SUMMARY**

This bill would establish the Virtual Dental Home (VDH) program within the Department of Public Health to expand the virtual dental home model of community based delivery of dental care to those in the greatest need; encourage expansion of the model in community clinics and schools; and appropriate \$4 million for this purpose.

#### **ANALYSIS**

Existing law establishes the State Department of Public Health to administer the state oral health program known as the Office of Oral Health for the purposes of, among other things, establishing community dental disease prevention programs for school-aged children.

This bill would not directly impact the DHCC; however, it may impact its licensees due to their participation in the VDH program. The bill establishes the VDH program under the Department of Public Health and provides \$4 million in funding from the General Fund to provide the infrastructure and equipment needed to expand the program and the delivery of dental health services in schools, head start and preschool programs, and community clinics as set forth in Sections 1910.5 and 1926.5 of the Business and Professions Code. This includes development of related training modules, establishing community-based learning collaboratives, and provides grants to fund VDH technology and equipment.

As of this writing, there are over 70 DHCC licensees that would be able to work for the VDH program since they have already participated and completed the Health Workforce Pilot Project no.172.

#### SUPPORT/OPPOSITION

#### Support

- California Dental Association (sponsor)
- Children's Partnership (sponsor)
- California Dental Hygienists' Association
- California Primary Care Association
- Children's Defense Fund California
- LIBERTY Dental Plan of California, Inc.
- Maternal and Child Health Access

• North County Health Services

#### Opposition

• None on file.

#### ATTACHED:

1) AB 648 (Low) – Version: Amended – September 1, 2015

#### **COMMITTEE POSITION**

At the May 2015 meeting, the Committee took a "watch" position on AB 648 (Low). The Legislative and Regulatory Subcommittee may consider maintaining the watch position and report the recommendation to the full Committee.

COMMITTEE POSITION:	Support _	Oppose	Neutral
	X Maintain W	/atch	





# AB 648 (Low) Meeting the Dental Care Needs of California's Children Spreading the Virtual Dental Home

#### **Background**

Good dental health is critical to children's ability to grow up healthy and ready to learn so that they can succeed in school and life. Yet, tooth decay is the most common chronic disease and unmet health care need of children in California. It accounts for persistent pain, trouble eating and sleeping, missed school days, and expensive emergency room visits for preventable dental problems.

Many children and other underserved populations who suffer from poor oral health face significant obstacles in obtaining dental services. For example, a recent audit of Medi-Cal's dental program found that Medi-Cal is providing dental care to fewer than half of all children enrolled in the program. In addition to a lack of providers who see children enrolled in Medi-Cal, many low-income families have difficulty accessing care because they lack affordable transportation, lose pay when they miss work, and face other socioeconomic barriers.

#### AB 648 (Low)

The California Dental Association and The Children's Partnership are co-sponsoring AB 648 to ensure the Virtual Dental Home (VDH)—an innovative and cost-effective system for providing dental care to California's most vulnerable children and adults in community settings—can be spread across the state.

Thanks to legislation enacted in 2014 (AB 1174, Bocanegra) the VDH—originally a pilot—has the potential to become a sustainable and scalable model for delivering dental care. The VDH model of dental care uses technology to connect allied dental team members, located at community sites with dentists in offices or clinics, to facilitate the provision of comprehensive dental care for children and adults who face barriers to accessing that care in traditional service locations. To facilitate this system, AB 1174 allows for dental hygienists and specified dental assistants to perform duties previously piloted in the VDH and requires Medi-Cal to pay for dental care provided via telehealth. However, without an upfront investment in training, equipment, technical assistance, and other support that providers need to get started, the system will not be able to develop a critical mass needed to spread statewide and truly be integrated into California's dental delivery system.

#### AB 648 would:

- Authorize a one-time, \$4 million General Fund appropriation to establish a Virtual Dental Home grant program, under the leadership and direction of the State Dental Director, for the purpose of expanding the VDH into the state's areas of greatest need; and
- Authorize the allocation of grant funds for activities that support VDH implementation, including training, community-based learning collaboratives, technical assistance and equipment.

#### About the Virtual Dental Home: a Proven, Cost-Effective Solution

Through the VDH—developed by the Pacific Center for Special Care at the University of the Pacific School of Dentistry—specially trained dental hygienists and assistants collect dental information from patients in community settings—such as schools, Head Start sites, and nursing homes. They send that information electronically via a secure Web-based system (called store-and-forward telehealth) to the supervising dentist at a clinic or dental office. The dentist uses that information to establish a diagnosis and create a dental treatment plan for the hygienist or assistant to carry out. The hygienists and assistants refer patients to dental offices for procedures that require the skills of a dentist.

Through a pilot project, nearly 3,000 patients have been seen at more than 50 sites around California with overwhelmingly positive results. A rigorous evaluation has demonstrated patient safety with no adverse outcomes. And approximately two-thirds of the patients seen were able to receive the care they needed at the community site.

# AMENDED IN SENATE SEPTEMBER 1, 2015 AMENDED IN SENATE JUNE 29, 2015 AMENDED IN SENATE JUNE 11, 2015

CALIFORNIA LEGISLATURE—2015–16 REGULAR SESSION

#### ASSEMBLY BILL

No. 648

#### **Introduced by Assembly Member Low**

(Coauthor: Senator Nguyen)

February 24, 2015

An act to add Section —104755.5 to the Health and Safety Code,—15438.11 to the Government Code, relating to oral health, and making an appropriation therefor.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 648, as amended, Low. Community-based services: Health care access demonstration project grants: Virtual Dental Home program.

Existing law establishes the State Department of Public Health and sets forth—its powers and duties, including, but not limited to, the administration of a state oral health program known as the—Office of Oral Health for the purposes of, among other things, establishing community dental disease prevention programs for schoolaged children.

Existing law, the California Health Facilities Financing Authority Act, establishes a program for the California Health Facilities Authority to award grants that do not exceed \$1,500,000 to one or more projects designed to demonstrate specified new or enhanced cost-effective methods of delivering quality health care services to improve access to quality health care for vulnerable populations or communities, or both, that are effective at enhancing health outcomes and improving access to quality health care and preventive services. Existing law requires

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the authority to prepare and provide a report to the Legislature and the Governor on the outcomes of the demonstration grant program that includes, among other information, the total amount of grants issued and the amount of each grant issued. Existing law establishes the California Health Facilities Financing Authority Fund, a continuously appropriated fund, for these purposes.

This bill would establish the Virtual Dental Home grant program— Grant Program, to be administered by the authority, to expand the virtual dental home (VDH) model of community-based delivery of dental care to the residents of this state who are in greatest need, as prescribed. The bill would also create the California Virtual Dental Home Grant Program Account (VDH account) within the California Health Facilities Financing Authority Fund. The bill would require the program to facilitate, coordinate, and encourage development and expansion of the delivery of dental health services through use of the Virtual Dental Home VDH model by providing grants to, among other things, develop training modules and establish community-based learning collaboratives, as prescribed. The bill would require the program administrator authority to evaluate the grant program's progress toward meeting the objective to expand the virtual dental home VDH model of the community-based delivery of dental care and to post the evaluation and a summary of the evaluation, aspecified. The bill would transfer up to \$6,500,000 from the California Health Facilities Financing Authority Hospital Equipment Loan Program Fund to the VDH account for the purposes of the bill. By expanding the purposes for which a continuously appropriated fund may be used, this bill would make an appropriation.

The bill would appropriate \$4,000,000 to the department for the purposes of this program.

This bill would become operative only if SB 315 is enacted and takes effect on or before January 1, 2016.

Vote: <sup>2</sup>/<sub>3</sub>-majority. Appropriation: yes. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 15438.11 is added to the Government
- 2 Code, to read:
- 3 15438.11. (a) (1) There is hereby created the California
- 4 Virtual Dental Home Grant Program Account in the California

-3- AB 648

Health Facilities Financing Authority Fund for the purpose of administering a competitive grant selection process, in accordance with this section.

- (2) An amount of up to three million dollars (\$3,000,000) shall be transferred from funds in the California Health Facilities Financing Authority Hospital Equipment Loan Program that are not impressed with a trust for other purposes into the California Virtual Dental Home Grant Program Account for the purpose of administering a competitive grant selection process pursuant to this section.
- (b) The Virtual Dental Home (VDH) Grant Program is hereby established to expand the virtual dental home model of community-based delivery of dental care to the residents of this state who are in greatest need. The program shall be administered by the authority.
- (c) The VDH Grant Program shall facilitate, coordinate, and encourage development and expansion of the delivery of dental health services through the use of the VDH model by providing grants to do all of the following:
- (1) Develop training modules and Internet-based technical assistance.
  - (2) Establish community-based learning collaboratives.
  - (3) Fund essential VDH technology and equipment.
- (4) Develop and fund other services, as determined by the grant administrator, as required to meet the requirements of this section.
- (d) The authority may seek additional private or public funds to expand access to the VDH Grant Program.
- (e) The VDH Grant Program shall be focused on providing needed services in geographic areas of highest need, as determined by the authority.
- (f) The authority may grant funds directly to public and private educational institutions or nonprofit entities as required to meet the requirements of this section.
- (g) The authority shall evaluate the VDH Grant Program's progress toward meeting the objective to expand the VDH model of the community-based delivery of dental care to residents in geographic areas of highest need. On or before January 1, 2020, the authority shall post the evaluation and a summary of the evaluation on its Internet Web site.

AB 648 —4—

1 SEC. 2. This act shall become operative only if Senate Bill 315 2 is enacted and takes effect on or before January 1, 2016.

- SECTION 1. Section 104755.5 is added to the Health and Safety Code, to read:
- 104755.5. (a) The Virtual Dental Home (VDH) grant program is hereby established to expand the virtual dental home model of community-based delivery of dental care to the residents of this state who are in greatest need.
- (b) The grant program shall be administered by the dentist appointed to the State Department of Public Health, Oral Health Unit, by the director pursuant to Section 104755.
- (e) The VDH grant program shall facilitate, coordinate, and encourage development and expansion of the delivery of dental-health services through the use of the Virtual Dental Home model by providing grants to do all of the following:
- (1) Develop training modules and Web-based technical assistance.
  - (2) Establish community-based learning collaboratives.
  - (3) Fund essential VDH technology and equipment.
- (4) Develop and fund other services, as determined by the grant administrator, as required to meet the requirements of this section.
- (d) The program administrator may seek additional private or public funds to expand access to the VDH program.
- (e) The VDH program shall be focused on providing needed services in geographic areas of highest need, as determined by the program administrator.
- (f) The program administrator may grant funds directly to public and private educational institutions ornonprofit entities as required to meet the requirements of this section.
- (g) The program administrator shall evaluate the grant program's progress toward meeting the objective to expand the virtual dental home model of the community-based delivery of dental care to residents in geographic areas of highest need. Upon completion of the evaluation, the program administrator shall post the evaluation and a summary of the evaluation on the State Department of Public Health's Internet Web site.
- Department of Public Health's Internet Web site.
   SEC. 2. The sum of four million dollars (\$4,000,000) is hereby
   appropriated from the General Fund to the State Department of
- 39 Public Health for the purposes of the Virtual Dental Home (VDH)

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- program established pursuant to Section 104755.5 of the Health and Safety Code.



#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Bill Number: AB 1868	AUTHOR: Assembly Member WAGNER			
SPONSOR: Author	VERSION: Introduced – 2/10/16			
INTRODUCED: February 10, 2016	BILL STATUS : Committee Hearing Date Set for April 27, 2016			
BILL LOCATION: Assembly Appropriations Committee				
AGENDA ITEM: LEG 7- AB 1868 (Wagner)				
SUBJECT: Regulations: Legislative Notice				

#### **SUMMARY**

This bill would require any proposed regulation noticed for action that includes an economic or cost impact on businesses and private persons, to be submitted to the Legislature in order to create the opportunity for legislative oversight with the intent to increase accountability in the rulemaking process. According to the author, "This bill would ensure that the executive branch is kept in check, as it enforces the laws that the Legislature creates, and would bring the Legislature into its proper role of oversight of the executive branch." As proposed actions are available publicly and mechanisms exist for those interested in receiving them under current law, it is unclear what benefit, if any, would be gained by sending proposed actions to the Legislature. Moreover, this bill does not have any requirement directing the Legislature to review or act on the information.

#### **ANALYSIS**

Existing law, the Administrative Procedure Act, governs the procedure for the adoption, amendment, or repeal of regulations by state agencies and for the review of those regulatory actions by the Office of Administrative Law. Existing law requires an agency to mail a notice of proposed action to specified entities at least 45 days prior to the hearing and close of the public comment period on the adoption, amendment, or repeal of a regulation. This bill would add the Legislature as an additional entity required to receive the notice of proposed regulatory action.

In its introduced version, this bill would impact the DHCC by increasing the workload. Workload related to this bill would be performed by staff and is absorbable.

#### SUPPORT/OPPOSITION

#### Support

- Almond Hullers And Processors Association
- American Chemistry Council
- American Wood Council
- Associated Builders And Contractors of California
- Automotive Specialty Products Alliance
- Cal-Asian Chamber of Commerce
- California Apartment Association
- California Building Industry Association
- California Business Properties Association
- California Chamber of Commerce
- California Construction & Industrial Materials Association

- California Grain & Feed Association
- California Grocers Association
- California Manufacturers and Technology Association
- Chemical Industry Council of California
- Consumer Specialty Products Association
- Family Business Association
- Industrial Environmental Association
- National Elevator Industry, Inc.
- National Federation of Independent Business
- Small Business California
- Southwest California Legislative Council
- State Farm Mutual Automobile Insurance Company
- Western Electrical Contractors Association
- Western States Petroleum Association
- Western Wood Preservers Institute

#### Opposition

None on file

#### **ATTACHED:**

1) AB 1868 (Wagner) – Introduced Version - February 10, 2016

#### **COMMITTEE POSITION**

The Committee has not yet taken a position on AB 1868 (Wagner). The Legislative and Regulatory Subcommittee may consider taking a position and making a recommendation to the full Committee.

COMMITTEE POSITION:	Support	Oppose	Neutral	Watch
	Support	Oppose	neutrai	vvalcii

#### **Introduced by Assembly Member Wagner**

February 10, 2016

An act to amend Section 11346.4 of the Government Code, relating to regulations.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 1868, as introduced, Wagner. Regulations: legislative notice. Existing law, the Administrative Procedure Act, governs the procedure for the adoption, amendment, or repeal of regulations by state agencies and for the review of those regulatory actions by the Office of Administrative Law. Existing law requires an agency to mail a notice of proposed action to specified entities at least 45 days prior to the hearing and close of the public comment period on the adoption, amendment, or repeal of a regulation.

This bill would require that the notice of proposed action also be submitted to the Legislature if it includes particular information relating to economic and cost impacts of the regulation on businesses and private persons.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

*The people of the State of California do enact as follows:* 

- 1 SECTION 1. Section 11346.4 of the Government Code is
- 2 amended to read:

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 11346.4. (a) At least 45 days prior to the hearing and close of the public comment period on the adoption, amendment, or repeal of a regulation, notice of the proposed action shall be:

- (1) Mailed to every person who hasfiled a request for notice of regulatory actions with the state agency. Each state agency shall give a person filing a request for notice of regulatory actions the option of being notified of all proposed regulatory actions or being notified of regulatory actions concerning one or more particular programs of the state agency.
- (2) In cases in which the state agency is within a state department, mailed or delivered to the director of the department.
- (3) Mailed to a representative number of small business enterprises or their representatives that are likely to be affected by the proposed action. "Representative" for the purposes of this paragraph includes, but is not limited to, a trade association, industry association, professional association, or any other business group or association of any kind that represents a business enterprise or employees of a business enterprise.
- (4) When appropriate in the judgment of the state agency, mailed to any person or group of persons whom the agency believes to be interested in the proposed action and published in the form and manner as the state agency shall prescribe.
- (5) Published in the California Regulatory Notice Register as prepared by the office for each state agency's notice of regulatory action.
- (6) Posted on the state agency's website Internet Web site if the agency has a website an Internet Web site.
- (7) Submitted to the Legislature, in the manner prescribed by Section 9795, if the notice of proposed action includes an economic impact, cost impact, statement, or finding described by paragraph (7), (9), (10), or (11) of subdivision (a) of Section 11346.5.
- (b) The effective period of a notice issued pursuant to this section shall not exceed one year from the date thereof. If the adoption, amendment, or repeal of a regulation proposed in the notice is not completed and transmitted to the office within the period of one year, a notice of the proposed action shall again be issued pursuant to this article.
- (c) Once the adoption, amendment, or repeal is completed and approved by the office, no further adoption, amendment, or repeal

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to the noticed regulation shall be made without subsequent notice 2 being given. 3

- (d) The office may refuse to publish a notice submitted to it if the agency has failed to comply with this article.
- (e) The office shall make the California Regulatory Notice Register available to the public and state agencies at a nominal cost that is consistent with a policy of encouraging the widest possible notice distribution to interested persons.
- (f) Where the form or manner of notice is prescribed by statute in any particular case, in addition to filing and mailing notice as required by this section, the notice shall be published, posted, mailed, filed, or otherwise publicized as prescribed by that statute.
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- 13 The failure to mail notice to any person as provided in this section
- 14 shall not invalidate any action taken by a state agency pursuant to
- 15 this article.

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DEPARTMENT OF CONSUMER AFFAIRS

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Bill Number: AB 2701	AUTHOR: Assembly Member JONES			
SPONSOR: Author	VERSION: Introduced – 2/19/16			
INTRODUCED: February 19, 2016	<b>BILL STATUS:</b> In committee: Set first hearing which was canceled at the request of author. (04/05/16)			
BILL LOCATION: Assembly Committee on Business and Professions				
AGENDA ITEM: LEG 7 – AB 2701 (Jones)				
SUBJECT: Department of Consumer Affairs: Boards: Training Requirements				

#### SUMMARY

This bill would require the training of new appointed members of various boards to include information regarding the requirements of the Bagley-Keene Act, the Administrative Procedure Act, the Office of Administrative Law, and the Conflict of Interest Code.

## **ANALYSIS**

Existing law provides for the licensure and regulation of various professions and vocations by various boards, as defined, within the Department of Consumer Affairs, and provides for the membership of those various boards. Existing law requires newly appointed board members, within one year of assuming office, to complete a training and orientation offered by the department regarding, among other things, the obligations of the board member. Furthermore, existing law requires the department to adopt regulations necessary to establish the training and orientation program and its contents.

The Bagley-Keene Open Meeting Act (Bagley-Keene Act) generally requires, with specified exceptions for authorized closed sessions, that the meetings of state bodies be open and public and that all persons be permitted to attend. The Administrative Procedure Act governs the procedure for the adoption, amendment, or repeal of regulations by state agencies, and for the review of those regulatory actions by the Office of Administrative Law. Existing law requires every agency to adopt and promulgate a Conflict of Interest Code that contains, among other requirements, the circumstances under which designated employees or categories of designated employees must disqualify themselves from making, participating in the making, or using their official position to influence the making of, any decision.

The introduced version of this bill would not significantly impact the DHCC. Workload related to this bill would be performed by staff and is minor and absorbable.

# SUPPORT/OPPOSITION

To date, there is no registered support or opposition on file.

### **ATTACHED:**

1) AB 2701 (Jones) Introduced Version – February 19, 2016

# **COMMITTEE POSITION**

The Committee has not yet taken a position on AB 2701 (Jones). The Legislative and Regulatory Subcommittee may consider taking a position and making a recommendation to the full Committee.

COMMITTEE POSITION: \_\_\_ Support \_\_\_ Oppose \_\_\_ Neutral \_\_\_ Watch

# **Introduced by Assembly Member Jones**

February 19, 2016

An act to amend Section 453 of the Business and Professions Code, relating to professions and vocations.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 2701, as introduced, Jones. Department of Consumer Affairs: boards: training requirements.

Existing law provides for the licensure and regulation of various professions and vocations by various boards, as defined, within the Department of Consumer Affairs, and provides for the membership of those various boards. Existing law requires newly appointed board members, within one year of assuming office, to complete a training and orientation offered by the department regarding, among other things, the obligations of the board member. Existing law requires the department to adopt regulations necessary to establish the training and orientation program and its contents.

The Bagley-Keene Open Meeting Act (Bagley-Keene Act) generally requires, with specified exceptions for authorized closed sessions, that the meetings of state bodies be open and public and that all persons be permitted to attend. The Administrative Procedure Act governs the procedure for the adoption, amendment, or repeal of regulations by state agencies, and for the review of those regulatory actions by the Office of Administrative Law. Existing law requires every agency to adopt and promulgate a Conflict of Interest Code that contains, among other requirements, the circumstances under which designated employees or categories of designated employees must disqualify

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themselves from making, participating in the making, or using their official position to influence the making of, any decision.

This bill would additionally require the training of new board members to include, but not be limited to, information regarding the requirements of the Bagley-Keene Act, the Administrative Procedure Act, the Office of Administrative Law, and the department's Conflict of Interest Code.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- SECTION 1. Section 453 of the Business and Professions Code is amended to read:
- 453. Every newly appointed board member shall, within one vear of assuming office, complete a training and orientation
- 5 program offered by the department regarding, among other things,
- 6 his or her functions, responsibilities, and obligations as a member
- 7 of a board. This training shall include, but is not limited to,
- 8 information about the Bagley-Keene Open Meeting Act (Article 9
- 9 (commencing with Section 11120) of Chapter 1 of Part 1 of
- 10 Division 3 of Title 2 of the Government Code), the Administrative
- 11 Procedure Act (Chapter 3.5 (commencing with Section 11340) of
- 12 Part 1 of Division 3 of Title 2 of the Government Code), the Office
- 13 of Administrative Law, and the department's Conflict of Interest
- 14 Code, as required pursuant to Section 87300 of the Government
- 17 Code, as required pursuant to section 6/300 by the Government
- 15 Code. The department shall adopt regulations necessary to establish
- 16 this training and orientation program and its content.





#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Bill Number: AB 2859	AUTHOR: Assembly Member LOW			
SPONSOR: Author	VERSION: Introduced – 2/19/16			
INTRODUCED: February 19, 2016	BILL STATUS : Committee Hearing Date Set for April 27, 2016			
BILL LOCATION: Assembly Appropriations Committee				
AGENDA ITEM: LEG 7- AB 2859 (Low)				
SUBJECT: Professions and Vocations: Retired Category: Licenses				

## **SUMMARY**

This bill would allow all programs within the Department to issue a retired license, with specific limitations. The bill specifies that the holder of a retired license shall not be required to renew that license. This bill would authorize any of the boards, bureaus, commissions, or programs within the department to establish by regulation a system for a retired category of license for persons who are not actively engaged in the practice of their profession or vocation, and would prohibit the holder of a retired license from engaging in any activity for which a license is required, unless regulation specifies the criteria for a retired licensee to practice his or her profession. The bill would authorize a board upon its own determination, and would require a board upon receipt of a complaint from any person, to investigate the actions of any licensee, including, among others, a person with a license that is retired or inactive. This bill does not require boards to offer a retired license.

## **ANALYSIS**

Existing law authorizes any of the boards, bureaus, commissions, or programs within the department, except as specified, to establish by regulation a system for an inactive category of license for persons who are not actively engaged in the practice of their profession or vocation. Under existing law, the holder of an inactive license is prohibited from engaging in any activity for which a license is required. Existing law defines "board" for these purposes to include, unless expressly provided otherwise, a bureau, commission, committee, department, division, examining committee, program, and agency.

In its introduced version, this bill would not significantly impact the workload of the DHCC; however, it would require a change to the new BREEZE online system. The costs associated would not be minor and absorbable.

## SUPPORT/OPPOSITION

Support

- California Association of Psychiatric Technicians
- Contractors State License Board

Opposition

· None on file

ATTACHED: AB 2859 (Low) - Introduced Version - February 19, 2016

# **COMMITTEE POSITION**

The Committee has not yet taken a position on AB 2859 (Low). The Legislative and Regulatory Subcommittee may consider taking a position and making a recommendation to the full Committee.

COMMITTEE POSITION: _	Support	_ Oppose	Neutral	Watch
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# **Introduced by Assembly Member Low**

February 19, 2016

An act to add Section 463 to the Business and Professions Code, relating to professions and vocations.

#### LEGISLATIVE COUNSEL'S DIGEST

AB 2859, as introduced, Low. Professions and v ocations: retired category: licenses.

Existing law provides for numerous boards, bureaus, commissions, or programs within the Department of Consumer Affairs that administer the licensing and regulation of various businesses and professions. Existing law authorizes any of the boards, bureaus, commissions, or programs within the department, except as specified, to establish by regulation a system for an inactive category of license for persons who are not actively engaged in the practice of their profession or vocation. Under existing law, the holder of an inactive license is prohibited from engaging in any activity for which a license is required. Existing law defines "board" for these purposes to include, unless expressly provided otherwise, a bureau, commission, committee, department, division, examining committee, program, and agency.

This bill would additionally authorize any of the boards, bureaus, commissions, or programs within the department to establish by regulation a system for a retired category of license for persons who are not actively engaged in the practice of their profession or vocation, and would prohibit the holder of a retired license from engaging in any activity for which a license is required, unless regulation specifies the criteria for a retired licensee to practice his or her profession. The bill

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would authorize a board upon its own determination, and would require a board upon receipt of a complaint from any person, to investigate the actions of any licensee, including, among others, a person with a license that is retired or inactive.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 463 is added to the Business and 2 Professions Code, to read:
  - 463. (a) Any of the boards, bureaus, commissions, or programs within the department may establish, by regulation, a system for a retired category of licensure for persons who are not actively engaged in the practice of their profession or vocation.
    - (b) The regulation shall contain the following:
- (1) The holder of a retired license issued pursuant to this section shall not engage in any activity for which a license is required, unless the board, by regulation, specifies the criteria for a retired 111 icensee to practice his or her profession or vocation.
- 12 (2) The holder of a retired license shall not be required to renew 13 t hat license.
- (3) In order for the holder of a retired license issued pursuant 14 15 t o this section to restore his or her license to an active status, the holder of that license shall meet all the following:
  - (A) Pay a fee established by statute or regulation.
  - (B) Certify, in a manner satisfactory to the board, that he or she has not committed an act or crime constituting grounds for denial of licensure.
  - (C) Comply with the fingerprint submission requirements established by regulation.
  - (D) If the board requires completion of continuing education for renewal of an active license, complete continuing education equivalent to that required for renewal of an active license, unless a different requirement is specified by the board.
  - (E) Complete any other requirements as specified by the board by regulation.
- 29 (c) A board may upon its own determination, and shall upon 30 receipt of a complaint from any person, investigate the actions of 31 any licensee, including a person with a license that either restricts

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- or prohibits the practice of that person in his or her profession or vocation, including, but not limited to, a license that is retired, inactive, canceled, revoked, or suspended.





GOVERNOR EDMUND G. BROWN JR.

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Bill Number: SB 1033	AUTHOR: Assembly Member HILL		
SPONSOR: Author	VERSION: Amended – 3/17/16		
INTRODUCED: February 12, 2016	<b>BILL STATUS:</b> April 25, 2016, set for first hearing canceled at the request of author. (04/20/16)		
BILL LOCATION: Senate Appropriations Committee			
AGENDA ITEM: LEG 7- SB 1033 (Hill)			
SUBJECT: Medical Board: Disclosure of Probationary Status			

#### **SUMMARY**

This bill would require physicians and surgeons, osteopathic physicians and surgeons, podiatrists, acupuncturists, chiropractors and naturopathic doctors to notify patients of their probationary status prior to the patient's first visit while the licensee is on probation with a specified number of requirements, including obtaining a signed receipt from the patient which verifies the disclosure. This bill would require the Medical Board of California, Osteopathic Medical Board of California, California Board of Podiatric Medicine, Acupuncture Board, Board of Chiropractic Examiners, and the Naturopathic Medicine Committee by July 1, 2018, to include a standardized format for listing specified information related to probation and to provide that information to an inquiring member of the public, on any documents informing the public of probation orders, and on a specified profile Internet Web page of each licensee subject to probation, as specified. Furthermore the bill would require the summary to be posted on the BreEZe profile web page of each licensee subject to probation.

## **ANALYSIS**

Existing law, authorizes the Dental Hygiene Committee of California (DHCC) to discipline its' licensees by placing them on probation as specified. Currently, the DHCC discloses to an inquiring member of the public disciplinary information and posts on the DHCC website specified information concerning each licensee including revocations, suspensions, probations, or limitations on practice. In its amended version, this bill would not directly impact the DHCC, but it may be a trend that could create legislation of this kind in the future that could impact DHCC.

## SUPPORT/OPPOSITION

# Support

- Californians for Patients' Rights
- CALPIRG
- Center for Public Interest Law
- Consumer Federation of California
- Consumers Union's Safe Patient Project
- Consumer Watchdog
- One individual

# Opposition

- California Chapter of the American College of Emergency Room Physicians
- California Medical Association

ATTACHED: SB 1033 (Hill) - Amended Version - March 17, 2016

# **COMMITTEE POSITION**

The Committee has not yet taken a position on SB 1033 (Hill). The Legislative and Regulatory Subcommittee may consider taking a position and making a recommendation to the full Committee.

COMMITTEE POSITION: \_\_\_ Support \_\_\_ Oppose \_\_\_ Neutral \_\_\_ Watch

# **Introduced by Senator Hill**

February 12, 2016

An act to amend Sections 803.1, 2027, and 2228 of 2221, 2221.05, 2228, and 3663 of, and to add Sections 1006 and 4962 to, the Business and Professions Code, relating to healing arts.

#### LEGISLATIVE COUNSEL'S DIGEST

SB 1033, as amended, Hill. Medical Board: disclosure of probationary status.

Existing law, the Medical Practice Act, establishes the Medical Board of California for the licensing, regulation, and discipline of physicians and surgeons. Existing law establishes the California Board of Podiatric Medicine within the Medical Board of California for the licensing, regulation, and discipline of podiatrists. Existing law, the Osteopathic Act, enacted by an initiative measure, establishes the Osteopathic Medical Board of California for the licensing and regulation of osteopathic physicians and surgeons and requires the Osteopathic Medical Board of California to enforce the Medical Practice Act with respect to its licensees. Existing law, the Naturopathic Doctors Act, establishes the Naturopathic Medicine Committee in the Osteopathic Medical Board of California for the licensing and regulation of naturopathic doctors. Existing law, the Chiropractic Act, enacted by an initiative measure, establishes the State Board of Chiropractic Examiners for the licensing and regulation of chiropractors. Existing law, the Acupuncture Licensure Act, establishes the Acupuncture Board for the licensing and regulation of acupuncturists. Existing law authorizes the board each of these regulatory agencies to discipline a

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physician or a surgeon its licensee by placing her or him on probation, which may include requiring the physician or surgeon to complete specified trainings, examinations, or community service or restricting the extent, scope, or type of practice, probation, as specified.

This bill would require the board these regulatory entities to require a physician or surgeon licensee to disclose on a separate document her or his probationary status to<del>patients before each a patient, the patient's</del> guardian, or the health care surrogate prior to the patient's first visit following the probationary order while the physician or surgeon licensee is on probation under specified circumstances, including the board an accusation alleging, a statement of issues indicating, or an administrative law judge's legal conclusion finding the physician or surgeon licensee committed gross negligence or the physician or surgeon licensee having been on probation repeatedly, more than once, among others. The bill would require theboard, by July 1, 2018, to adopt related regulations that include requiring the physician or surgeon licensee to obtain from the patient a signed receipt containing pecified information following the disclosure. The bill would exempt a licensee from disclosing her or his probationary status prior to a visit or treatment if the patient is unable to comprehend the disclosure or sign an acknowledgment and a guardian or health care surrogate is unavailable. The bill would require in that instance that the doctor disclose his or her status as soon as either the patient can comprehend and sign the receipt or a guardian or health care surrogate is available to comprehend the disclosure and sign the receipt.

Existing law requires the —board Medical Board of California, the Osteopathic Medical Board of California, and the California Board of Podiatric Medicine to disclose to an inquiring member of the public and to post on —its their Internet Web—site sites specified information concerning each physician and surgeon, licensee including revocations, suspensions, probations, or limitations on practice.

**This** 

The bill would require the board, the Medical Board of California, the Osteopathic Medical Board of California, the California Board of Podiatric Medicine, the State Board of Chiropractic Examiners, the Naturopathic Medicine Committee, and the Acupuncture Board by July 1, 2018, to include in each order of probation a written summary containing specified information develop a standardized format for listing specified information related to the probation and to include the summary in the disclosure provide that information to an inquiring

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member of the public, on any -board documents informing the public of probation orders, and on a specified profile web Internet Web page of each physician and surgeon licensee subject to probation. probation, as specified.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- 1 SECTION 1. Section 803.1 of the Business and Professions 2 Code is amended to read:
- 803.1. (a) Notwithstanding any other provision of law, the
  Medical Board of California, the Osteopathic Medical Board of
  California, the California Board of Podiatric Medicine, and the
  Physician Assistant Board shall disclose to an inquiring member
  of the public information regarding any enforcement actions taken
  against a licensee, including a former licensee, by the board or by
- 9 another state or jurisdiction, including all of the following:
  - (1) Temporary restraining orders issued.
  - (2) Interim suspension orders issued.

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- (3) Revocations, suspensions, probations, or limitations on practice ordered by the board, including those made part of a probationary order or stipulated agreement.
  - (4) Public letters of reprimand issued.
  - (5) Infractions, citations, or fines imposed.
- (b) Notwithstanding any other provision of law, in addition to the information provided in subdivision (a), the Medical Board of California, the Osteopathic Medical Board of California, the California Board of Podiatric Medicine, and the Physician Assistant Board shall disclose to an inquiring member of the public all of
- the following:

  (1) Civil judgments in any amount, whether or not vacated by
  a settlement after entry of the judgment, that were not reversed on
  appeal and arbitration awards in any amount of a claim or action
  - for damages for death or personal injury caused by the physician and surgeon's negligence, error, or omission in practice, or by his
- 28 or her rendering of unauthorized professional services.
- 29 (2) (A) All settlements in the possession, custody, or control of the board shall be disclosed for a licensee in the low-risk
- 31 category if there are three or more settlements for that licensee

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1 within the last 10 years, except for settlements by a licensee

- 2 regardless of the amount paid where (i) the settlement is made as
- 3 a part of the settlement of a class claim, (ii) the licensee paid in
- 4 settlement of the class claim the same amount as the other licensees
- 5 in the same class or similarly situated licensees in the same class,
- 6 and (iii) the settlement was paid in the context of a case where the
- 7 complaint that alleged class liability on behalf of the licensee also
- 8 alleged a products liability class action cause of action. All
- 9 settlements in the possession, custody, or control of the board shall
- 10 be disclosed for a licensee in the high-risk category if there are
- 11 four or more settlements for that licensee within the last 10 years
- 12 except for settlements by a licensee regardless of the amount paid
- 13 where (i) the settlement is made as a part of the settlement of a
- 14 class claim, (ii) the licensee paid in settlement of the class claim
- 15 the same amount as the other licensees in the same class or
- similarly situated licensees in the same class, and (iii) the
- 17 settlement was paid in the context of a case where the complaint
- 18 that alleged class liability on behalf of the licensee also alleged a
- 19 products liability class action cause of action. Classification of a
- 20 licensee in either a "high-risk category" or a "low-risk category"
- 21 depends upon the specialty or subspecialty practiced by the licensee
- and the designation assigned to that specialty or subspecialty by
- 23 the Medical Board of California, as described in subdivision (f).
- For the purposes of this paragraph, "settlement" means a settlement
- of an action described in paragraph (1) entered into by the licensee on or after January 1, 2003, in an amount of thirty thousand dollars
- 27 (\$30,000) or more.

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- (B) The board shall not disclose the actual dollar amount of a settlement but shall put the number and amount of the settlement in context by doing the following:
- (i) Comparing the settlement amount to the experience of other licensees within the same specialty or subspecialty, indicating if it is below average, average, or above average for the most recent 10-year period.
- (ii) Reporting the number of years the licensee has been in practice.
- (iii) Reporting the total number of licensees in that specialty or subspecialty, the number of those who have entered into a settlement agreement, and the percentage that number represents of the total number of licensees in the specialty or subspecialty.

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(3) Current American Board of Medical Specialtiescertification or board equivalent ascertified by the Medical Board of California, the Osteopathic Medical Board of California, or the California Board of Podiatric Medicine.

(4) Approved postgraduate training.

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- (5) Status of the license of a licensee. By January 1, 2004, the Medical Board of California, the Osteopathic Medical Board of California, and the California Board of Podiatric Medicine shall adopt regulations defining the status of a licensee. The board shall employ this definition when disclosing the status of a licensee pursuant to Section 2027. By July 1, 2018, the Medical Board of California California, the Osteopathic Medical Board of California, and the California Board of Podiatric Medicine shall include the summary of each probation order as written pursuant toinformation described in subdivision (e) (f) of Section 2228.
- (6) Any summaries of hospital disciplinary actions that result in the termination or revocation of a licensee's staff privileges for medical disciplinary cause or reason, unless a courtfinds, in a final judgment, that the peer review resulting in the disciplinary action was conducted in bad faith and the licensee notifies the board of that finding. In addition, any exculpatory or explanatory statements submitted by the licentiate electronically pursuant to subdivision (f) of that section shall be disclosed. For purposes of this paragraph, "peer review" has the same meaning as defined in Section 805.
- (c) Notwithstanding any other provision of law, the Medical Board of California, the Osteopathic Medical Board of California, the California Board of Podiatric Medicine, and the Physician Assistant Board shall disclose to an inquiring member of the public information received regarding felony convictions of a physician and surgeon or doctor of podiatric medicine.
- (d) The Medical Board of California, the Osteopathic Medical Board of California, the California Board of Podiatric Medicine, and the Physician Assistant Board may formulate appropriate disclaimers or explanatory statements to be included with any information released, and may by regulation establish categories of information that need not be disclosed to an inquiring member of the public because that information is unreliable or not sufficiently related to the licensee's professional practice. The Medical Board of California, the Osteopathic Medical Board of

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Physician Assistant Board shall include the following statement when disclosing information concerning a settlement:

"Some studies have shown that there is no ignificant correlation between malpractice history and a doctor's competence. At the same time, the State of California believes that consumers should have access to malpractice information. In these profiles, the State of California has given you information about both the malpractice settlement history for the doctor's specialty and the doctor's history of settlement payments only if in the last 10 years, the doctor, if in a low-risk specialty, has three or more settlements or the doctor, if in a high-risk specialty, has four or more settlements. The State of California has excluded some class action lawsuits because those cases are commonly related to systems issues such as product liability, rather than questions of individual professional competence and because they are brought on a class basis where the economic incentive for settlement is great. The State of California has placed payment amounts into three statistical categories: below average, average, and above average compared to others in the doctor's specialty. To make the best health care decisions, you should view this information in perspective. You could miss an opportunity for high-quality care by selecting a

When considering malpractice data, please keep in mind:

doctor based solely on malpractice history.

Malpractice histories tend to vary by specialty. Some specialties are more likely than others to be the subject of litigation. This report compares doctors only to the members of their specialty, not to all doctors, in order to make an individual doctor's history more meaningful.

This report reflects data only for settlements made on or after January 1, 2003. Moreover, it includes information concerning those settlements for a 10-year period only. Therefore, you should know that a doctor may have made settlements in the 10 years immediately preceding January 1, 2003, that are not included in this report. After January 1, 2013, for doctors practicing less than 10 years, the data covers their total years of practice. You should take into account the effective date of settlement disclosure as well as how long the doctor has been in practice when considering malpractice averages.

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The incident causing the malpractice claim may have happened years before a payment is finally made. Sometimes, it takes a long time for a malpractice lawsuit to settle. Some doctors work primarily with high-risk patients. These doctors may have malpractice settlement histories that are higher than average because they specialize in cases or patients who are at very high risk for problems.

Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the doctor. A payment in settlement of a medical malpractice action or claim should not be construed as creating a presumption that medical malpractice has occurred.

You may wish to discuss information in this report and the general issue of malpractice with your doctor."

- (e) The Medical Board of California, the Osteopathic Medical Board of California, the California Board of Podiatric Medicine, and the Physician Assistant Board shall, by regulation, develop standard terminology that accurately describes the different types of disciplinary filings and actions to take against a licensee as described in paragraphs (1) to (5), inclusive, of subdivision (a). In providing the public with information about a licensee via the Internet pursuant to Section 2027, the Medical Board of California, the Osteopathic Medical Board of California, the California Board of Podiatric Medicine, and the Physician Assistant Board shall not use the terms "enforcement," "discipline," or similar language implying a sanction unless the physician and surgeon has been the subject of one of the actions described in paragraphs (1) to (5), inclusive, of subdivision (a).
- (f) The Medical Board of California shall adopt regulations no later than July 1, 2003, designating each specialty and subspecialty practice area as either high risk or low risk. In promulgating these regulations, the board shall consult with commercial underwriters of medical malpractice insurance companies, health care systems that self-insure physicians and surgeons, and representatives of the California medical specialty societies. The board shall utilize the carriers' statewide data to establish the two risk categories and the averages required by subparagraph (B) of paragraph (2) of subdivision (b). Prior to issuing regulations, the board shall convene public meetings with the medical malpractice carriers, self-insurers, and specialty representatives.

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1 (g) The Medical Board of California, the Osteopathic Medical 2 Board of California, the California Board of Podiatric Medicine, and the Physician Assistant Board shall provide each licensee, 4 including a former licensee under subdivision (a), with a copy of the text of any proposed public disclosure authorized by this section 5 prior to release of the disclosure to the public. The licensee shall 6 have 10 working days from the date the board provides the copy of the proposed public disclosure to propose corrections of factual 9 inaccuracies. Nothing in this section shall prevent the board from disclosing information to the public prior to the expiration of the 10 10-day period. 11

- (h) Pursuant to subparagraph (A) of paragraph (2) of subdivision (b), the specialty or subspecialty information required by this section shall group physicians by specialty board recognized pursuant to paragraph (5) of subdivision (h) of Section 651 unless a different grouping would be more valid and the board, in its statement of reasons for its regulations, explains why the validity of the grouping would be more valid.
- (i) By July 1, 2018, the board Medical Board of California, the Osteopathic Medical Board of California, and the California Board of Podiatric Medicine shall include -each licensee's probationsummary written pursuant to subdivision (e)the information listed in subdivision (f) of Section 2228 on any board documents informing the public of probation orders, orders and probationary licenses, including, but not limited to, newsletters.
- SEC. 2. Section 1006 is added to the Business and Professions Code, to read:
- 1006. (a) Except as provided by subdivision (c), the State Board of Chiropractic Examiners shall require a licensee to disclose on a separate document her or his probationary status to a patient, the patient's guardian, or health care surrogate prior to the patient's first visit following the probationary order while the licensee is on probation in any of the following circumstances:
- 34 (1) The accusation alleges, the statement of issues indicates, or 35 the legal conclusions of an administrative law judge find that the licensee is implicated in any of the following: 36
- 37 (A) Gross negligence.
- (B) Repeated negligent acts involving a departure from the 39 standard of care with multiple patients.

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(C) Repeated acts of inappropriate and excessive prescribing of controlled substances, including, but not limited to, prescribing controlled substances without appropriate prior examination or without medical reason documented in medical records.

- (D) Drug or alcohol abuse that threatens to impair a licensee's ability to practice medicine safely, including practicing under the influence of drugs or alcohol.
- (E) Felony conviction arising from or occurring during patient care or treatment.
- (F) Mental illness or other cognitive impairment that impedes a licensee's ability to safely practice medicine.
- (2) The board ordered any of the following in conjunction with placing the licensee on probation:
- (A) That a third-party chaperone be present when the licensee examines patients as a result of sexual misconduct.
- (B) That the licensee submit to drug testing as a result of drug or alcohol abuse.
  - (C) That the licensee have a monitor.

- (D) Restricting the licensee totally or partially from prescribing controlled substances.
- (3) The licensee has not successfully completed a clinical training program or any associated examinations required by the board as a condition of probation.
  - (4) The licensee has been on probation more than once.
- (b) The licensee shall obtain from each patient a signed receipt following the disclosure that includes a written explanation of how the patient can find further information on the licensee's probation on the board's Internet Web site.
- (c) The licensee shall not be required to provide the disclosure prior to the visit as required by subdivision (a) if the patient is unconscious or otherwise unable to comprehend the disclosure and sign the receipt pursuant to subdivision (b) and a guardian or health care surrogate is unavailable to comprehend the disclosure and sign the receipt. In that instance, the licensee shall disclose her or his status as soon as either the patient can comprehend the disclosure and sign the receipt or a guardian or health care surrogate is available to comprehend the disclosure and sign the receipt.

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(d) By July 1, 2018, the board shall develop a standardized format for listing the following information pursuant to subdivision (e):

- (1) The listing of the causes for probation alleged in the accusation, the statement of issues, or the legal conclusions of an administrative law judge.
  - (2) The length of the probation and the end date.
- (3) All practice restrictions placed on the licencee by the committee.
- (e) By July 1, 2018, the board shall provide the information listed in subdivision (d) as follows:
  - (1) To an inquiring member of the public.
- (2) On any board documents informing the public of probation orders and probationary licenses, including, but not limited to, newsletters.
- (3) Upon availability of a licensee's BreEZe profile Internet Web page on the BreEZe system pursuant to Section 210, in plain view on the BreEZe profile Internet Web page of a licensee subject to probation or a probationary license.

<del>SEC. 2.</del>

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- SEC. 3. Section 2027 of the Business and Professions Code is amended to read:
- 2027. (a) The board shall post on its Internet Web site the following information on the current status of the license for all current and former licensees:
  - (1) Whether or not the licensee is presently in good standing.
- (2) Current American Board of Medical Specialtiescertification or board equivalent as certified by the board.
- (3) Any of the following enforcement actions or proceedings to which the licensee is actively subjected:
  - (A) Temporary restraining orders.
  - (B) Interim suspension orders.
- 33 (C) (i) Revocations, suspensions, probations, or limitations on 34 practice ordered by the board or the board of another state or 35 jurisdiction, including those made part of a probationary order or 36 stipulated agreement.
- 37 (ii) By July 1, 2018, the board board, the Osteopathic Medical
  38 Board of California, and the California Board of Podiatric
  39 Medicine shall include, in plain view on the BreEZe profile web
  40 Internet Web page of each licensee subject to probation, the

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summary of each probation order as written pursuant toprobation or a probationary license, the information described in subdivision (e) (f) of Section 2228. For purposes of this subparagraph, a BreEZe profile web Internet Web page is a profile web Internet Web page on the BreEZe system pursuant to Section 210.

- (D) Current accusations filed by the Attorney General, including those accusations that are on appeal. For purposes of this paragraph, "current accusation" means an accusation that has not been dismissed, withdrawn, or settled, and has not beenfinally decided upon by an administrative law judge and the board unless an appeal of that decision is pending.
- (E) Citations issued that have not been resolved or appealed within 30 days.
- (b) The board shall post on its Internet Web site all of the following historical information in its possession, custody, or control regarding all current and former licensees:
  - (1) Approved postgraduate training.

- (2) Any final revocations and suspensions, or other equivalent actions, taken against the licensee by the board or the board of another state or jurisdiction or the surrender of a license by the licensee in relation to a disciplinary action or investigation, including the operative accusation resulting in the license surrender or discipline by the board.
- (3) Probation or other equivalent action ordered by the board, or the board of another state or jurisdiction, completed or terminated, including the operative accusation resulting in the discipline by the board.
- (4) Any felony convictions. Upon receipt of acertified copy of an expungement order granted pursuant to Section 1203.4 of the Penal Code from a licensee, the board shall, within six months of receipt of the expungement order, post notification of the expungement order and the date thereof on its Internet Web site.
- (5) Misdemeanor convictions resulting in a disciplinary action
   or accusation that is not subsequently withdrawn or dismissed.
   Upon receipt of a certified copy of an expungement order granted
   pursuant to Section 1203.4 of the Penal Code from a licensee, the
   board shall, within six months of receipt of the expungement order,
   post notification of the expungement order and the date thereof on

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(6) Civil judgments issued in any amount, whether or not vacated by a settlement after entry of the judgment, that were not reversed on appeal, and arbitration awards issued in any amount, for a claim or action for damages for death or personal injury caused by the physician and surgeon's negligence, error, or omission in practice, or by his or her rendering of unauthorized professional services.

- (7) Except as provided in subparagraphs (A) and (B), a summary of any final hospital disciplinary actions that resulted in the termination or revocation of a licensee's hospital staff privileges for a medical disciplinary cause or reason. The posting shall provide any additional explanatory or exculpatory information submitted by the licensee pursuant to subdivision (f) of Section 805. The board shall also post on its Internet Web site a factsheet that explains and provides information on the reporting requirements under Section 805.
- (A) If a licensee's hospital staff privileges are restored and the licensee notifies the board of the restoration, the information pertaining to the termination or revocation of those privileges shall remain posted on the Internet Web site for a period of 10 years from the restoration date of the privileges, and at the end of that period shall be removed.
- (B) If a court finds, in a final judgment, that peer review resulting in a hospital disciplinary action was conducted in bad faith and the licensee notifies the board of that finding, the information concerning that hospital disciplinary action posted on the Internet Web site shall be immediately removed. For purposes of this subparagraph, "peer review" has the same meaning as defined in Section 805.
- (8) Public letters of reprimand issued within the past 10 years by the board or the board of another state or jurisdiction, including the operative accusation, if any, resulting in discipline by the board.
- (9) Citations issued within the last three years that have been resolved by payment of the administrative or compliance with the order of abatement.
- (10) All settlements within the last five years in the possession, custody, or control of the board shall be disclosed for a licensee in the low-risk category if there are three or more settlements for that licensee within the last five years, and for a licensee in the high-risk category if there are four or more settlements for that

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licensee within the last five years. Classification of a licensee in either a "high-risk category" or a "low-risk" category depends upon the specialty or subspecialty practiced by the licensee and the designation assigned to that specialty or subspecialty by the board pursuant to subdivision (f) of Section 803.1.

- (A) For the purposes of this paragraph, "settlement" means a settlement in an amount of thirty thousand dollars (\$30,000) or more of any claim or action for damages for death or personal injury caused by the physician and surgeon's negligence, error, or omission in practice, or by his or her rendering of unauthorized professional services.
- (B) For the purposes of this paragraph, "settlement" does not include a settlement by a licensee, regardless of the amount paid, when (i) the settlement is made as a part of the settlement of a class claim, (ii) the amount paid in settlement of the class claim is the same amount paid by the other licensees in the same class or similarly situated licensees in the same class, and (iii) the settlement was paid in the context of a case for which the complaint that alleged class liability on behalf of the licensee also alleged a products liability class action cause of action.
- (C) The board shall not disclose the actual dollar amount of a settlement, but shall disclose settlement information in the same manner and with the same disclosures required under subparagraph (B) of paragraph (2) of subdivision (b) of Section 803.1.
- (11) Appropriate disclaimers and explanatory statements to accompany the information described in paragraphs (1) to (10), inclusive, including an explanation of what types of information are not disclosed. These disclaimers and statements shall be developed by the board and shall be adopted by regulation.
- (c) The board shall provide links to other Internet Web sites that provide information on board certifications that meet the requirements of subdivision (h) of Section 651. The board may also provide links to any other Internet Web sites that provide information on the affiliations of licensed physicians and surgeons. The board may provide links to other Internet Web sites on the Internet that provide information on health care service plans,
- 37 health insurers, hospitals, or other facilities.
  - SEC. 4. Section 2221 of the Business and Professions Code is amended to read:

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2221. (a) The board may deny a physician's and surgeon's certificate to an applicant guilty of unprofessional conduct or of any cause that would subject a licensee to revocation or suspension of his or her license; or, the license.

- (b) The board in its sole discretion, may issue a probationary physician's and surgeon's certificate to an applicant subject to terms and conditions, including, but not limited to, any of the following conditions of probation:
- (1) Practice limited to a supervised, structured environment where the licensee's activities shall be supervised by another physician and surgeon.
- (2) Total or partial restrictions on drug prescribing privileges for controlled substances.
  - (3) Continuing medical or psychiatric treatment.
  - (4) Ongoing participation in aspecified rehabilitation program.
- (5) Enrollment and successful completion of a clinical training program.
  - (6) Abstention from the use of alcohol or drugs.
- (7) Restrictions against engaging in certain types of medical practice.
  - (8) Compliance with all provisions of this chapter.
  - (9) Payment of the cost of probation monitoring.
- (10) Disclosing probationary license status to patients, pursuant to subdivision (b) of Section 2228.

<del>(b)</del>

(c) The board may modify or terminate the terms and conditions imposed on the probationary certificate upon receipt of a petition from the *licensee; however, the provisions of subdivision (b) of Section 2228 are mandatory with any probationary* licensee. The board may assign the petition to an administrative la w judge designated in Section 11371 of the Government Code. After a hearing on the petition, the administrative law judge shall provide a proposed decision to the board.

34 <del>(e)</del>

(d) The board shall deny a physician's and surgeon's ertificate to an applicant who is required to register pursuant to Section 290 of the Penal Code. This subdivision does not apply to an applicant who is required to register as a sex offender pursuant to Section 290 of the Penal Code solely because of a misdemeanor conviction under Section 314 of the Penal Code.

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(e) An applicant shall not be eligible to reapply for a physician's and surgeon's certificate for a minimum of three years from the effective date of the denial of his or her application, except that the board may, in its discretion and for good cause demonstrated, permit reapplication after not less than one year has elapsed from the effective date of the denial.

- SEC. 5. Section 2221.05 of the Business and Professions Code is amended to read:
- 2221.05. (a) Notwithstanding subdivision subdivisions (a) and (b) of Section 2221, the board may issue a physician's and surgeon's certificate to an applicant who has committed minor violations that the board deems, in its discretion, do not merit the denial of a certificate or require probationary status under Section 2221, and may concurrently issue a public letter of reprimand.
- (b) A public letter of reprimand issued concurrently with a physician's and surgeon's certificate shall be purged three years from the date of issuance.
- (c) A public letter of reprimand issued pursuant to this section shall be disclosed to an inquiring member of the public and shall be posted on the board's Internet Web site.
- (d) Nothing in this section shall be construed to affect the board's authority to issue an unrestricted license.

SEC. 3.

- SEC. 6. Section 2228 of the Business and Professions Code is amended to read:
- 2228. (a) The authority of the board or the California Board of Podiatric Medicine to discipline a licensee by placing him or her on probation includes, but is not limited to, the following:
- (1) Requiring the licensee to obtain additional professional training and to pass an examination upon the completion of the training. The examination may be written or oral, or both, and may be a practical or clinical examination, or both, at the option of the board or the administrative law judge.
- (2) Requiring the licensee to submit to a complete diagnostic examination by one or more physicians and sur geons appointed by the board. If an examination is ordered, the board shall receive and consider any other report of a complete diagnostic examination given by one or more physicians and surgeons of the licensee's choice.

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(3) Restricting or limiting the extent, scope, or type of practice of the licensee, including requiring notice to applicable patients that the licensee is unable to perform the indicated treatment, where appropriate.

- (4) Providing the option of alternative community service in cases other than violations relating to quality of care.
- (b) The —board board or the California Board of Podiatric Medicine shall require a licensee to disclose —on a separate document her or his probationary status to —patients before each visit a patient, the patient's guardian, or health care surrogate prior to the patient's first visit following the probationary order while the licensee is on probation in any of the following circumstances:
- (1) The board made a finding in the probation order accusation alleges, the statement of issues indicates, or the legal conclusions of an administrative law judge finds that the licensee committed is implicated in any of the following:
  - (A) Gross negligence.
- (B) Repeated negligent acts involving a departure from the standard of care with multiple patients.
- (C) Repeated acts of inappropriate and excessive prescribing of controlled substances, including, but not limited to, prescribing controlled substances without appropriate prior examination or without medical reason documented in medical records.
- (D) Drug or alcohol abuse that threatens to impair a licensee's ability to practice medicine safely, including practicing under the influence of drugs or alcohol.
- (E) Felony conviction arising from or occurring during patient care or treatment.
- (F) Mental illness or other cognitive impairment that impedes a licensee's ability to safely practice medicine.
- (2) The board ordered any of the following in conjunction with placing the licensee on probation:
- (A) That a -third party third-party chaperone be present when the licensee examines patients as a result of sexual misconduct.
- (B) That the licensee submit to drug testing as a result of drug or alcohol abuse.
  - (C) That the licensee have a monitor.
- 39 (D) Restricting totally or partially the licensee from prescribing 40 controlled substances.

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(E) Suspending the licensee from practice in cases related to quality of care.

- (3) The licensee has not successfully completed a clinical training program or any associated examinations required by the board as a condition of probation.
- (4) The licensee has been on probation repeatedly. *more than once.*
- (c) The board shall adopt regulations by July 1, 2018, to implement subdivision (b). The board shall include in these regulations a requirement that the licensee *shall* obtain from each patient a signed receipt following the disclosure that includes a written explanation of how the patient canfind further information on the licensee's discipline *probation* on the board's Internet Web site.
- (d) A licensee shall not be required to provide the disclosure prior to a visit as required by subdivision (b) if the patient is unconscious or otherwise unable to comprehend the disclosure and sign the receipt pursuant to subdivision (c) and a guardian or health care surrogate is unavailable to comprehend the disclosure and sign the receipt. In that instance, the licensee shall disclose her or his status as soon as either the patient can comprehend the disclosure and sign the receipt or a guardian or health care surrogate is available to comprehend the disclosure and sign the receipt.

<del>(d)</del>

(e) Section 2314 shall not apply to subdivision (b) or (c). (b), (c), or (d).

<del>(e)</del>

- (f) By July 1, 2018, the board shall-include, in the first section of each order of probation, a standardized, single paragraph, plain-language summary that contains the accusations that led to the licensee's probation, the develop a standardized format for listing the following information pursuant to paragraph (5) of subdivision (b) of Section 803.1, subdivision (i) of Section 803.1, and clause (ii) of subparagraph (C) of paragraph (1) of subdivision (a) of Section 2027:
- (1) The listing of the causes for probation alleged in the accusation, the statement of issues, or the legal conclusions of an administrative law judge.
  - (2) The length of the probation and the end date, and all date.

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(3) All practice restrictions placed on the licensee by the board. SEC. 7. Section 3663 of the Business and Professions Code is amended to read:

- 3663. (a) The committee shall have the responsibility for reviewing the quality of the practice of naturopathic medicine carried out by persons licensed as naturopathic doctors pursuant to this chapter.
- (b) The committee may discipline a naturopathic doctor for unprofessional conduct. After a hearing conducted in accordance with the Administrative Procedure Act (Chapter 5 (commencing with Section 11500) of Part 1 of Division 3 of Title 2 of the Government Code), the committee may deny, suspend, revoke, or place on probation the license of, or reprimand, censure, or otherwise discipline a naturopathic doctor in accordance with Division 1.5 (commencing with Section 475).
- (c) Except as provided by subdivision (e), the committee shall require a naturopathic doctor to disclose on a separate document her or his probationary status to a patient, the patient's guardian, or health care surrogate prior to the patient's first visit following the probationary order while the naturopathic doctor is on probation in any of the following circumstances:
- (1) The accusation alleges, the statement of issues indicates, or the legal conclusions of an administrative law judge find that the naturopathic doctor is implicated in any of the following:
  - (A) Gross negligence.
- (B) Repeated negligent acts involving a departure from the standard of care with multiple patients.
- (C) Repeated acts of inappropriate and excessive prescribing of controlled substances, including, but not limited to, prescribing controlled substances without appropriate prior examination or without medical reason documented in medical records.
- (D) Drug or alcohol abuse that threatens to impair a naturopathic doctor's ability to practice medicine safely, including practicing under the influence of drugs or alcohol.
- (E) Felony conviction arising from or occurring during patient care or treatment.
- (F) Mental illness or other cognitive impairment that impedes a naturopathic doctor's ability to safely practice medicine.
- (2) The committee ordered any of the following in conjunction with placing the naturopathic doctor on probation:

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(A) That a third-party chaperone be present when the naturopathic doctor examines patients as a result of sexual misconduct.

- (B) That the naturopathic doctor submit to drug testing as a result of drug or alcohol abuse.
  - (C) That the naturopathic doctor have a monitor.

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- (D) Restricting the naturopathic doctor totally or partially from prescribing controlled substances.
- (3) The naturopathic doctor has not successfully completed a clinical training program or any associated examinations required by the committee as a condition of probation.
- (4) The naturopathic doctor has been on probation more than once.
- (d) The naturopathic doctor shall obtain from each patient a signed receipt following the disclosure that includes a written explanation of how the patient can find further information on the naturopathic doctor's probation on the committee's Internet Web site.
- (e) The naturopathic doctor shall not be required to provide the disclosure prior to the visit as required by subdivision (c) if the patient is unconscious or otherwise unable to comprehend the disclosure or sign the receipt pursuant to subdivision (d) and a guardian or health care surrogate is unavailable to comprehend the disclosure or sign the receipt. In such an instance, the naturopathic doctor shall disclose her or his status as soon as either the patient can comprehend the disclosure and sign the receipt or a guardian or health care surrogate is available to comprehend the disclosure and sign the receipt.
- (f) By July 1, 2018, the committee shall develop a standardized format for listing the following information pursuant to:
- (1) The listing of the causes for probation alleged in the accusation, the statement of issues, or the legal conclusions of an administrative law judge.
  - (2) The length of the probation and the end date.
- (3) All practice restrictions placed on the naturopathic doctor by the committee.
- (g) By July 1, 2018, the committee shall provide the information 38 listed in subdivision (f) as follows:
  - (1) To an inquiring member of the public.

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 (2) On any committee documents informing the public of probation orders and probationary licenses, including, but not limited to, newsletters.

- (3) In plain view on the BreEZe profile Internet Web page of a naturopathic doctor subject to probation or a probationary license.
- SEC. 8. Section 4962 is added to the Business and Professions Code, to read:
- 4962. (a) Except as provided by subdivision (c), the board shall require a licensee to disclose on a separate document her or his probationary status to a patient, the patient's guardian, or health care surrogate prior to the patient's first visit following the probationary order while the licensee is on probation in any of the following circumstances:
- (1) The accusation alleges, the statement of issues indicates, or the legal conclusions of an administrative law judge find that the licensee is implicated in any of the following:
  - (A) Gross negligence.
- (B) Repeated negligent acts involving a departure from the standard of care with multiple patients.
- (C) Drug or alcohol abuse that threatens to impair a licensee's ability to practice acupuncture safely, including practicing under the influence of drugs or alcohol.
- (D) Felony conviction arising from or occurring during patient care or treatment.
- (E) Mental illness or other cognitive impairment that impedes a licensee's ability to safely practice acupuncture.
- (2) The board ordered any of the following in conjunction with placing the licensee on probation:
- (A) That a third-party chaperone be present when the licensee examines patients as a result of sexual misconduct.
- (B) That the licensee submit to drug testing as a result of drug or alcohol abuse.
  - (C) That the licensee have a monitor.
- (3) The licensee has not successfully completed a training program or any associated examinations required by the board as a condition of probation.
  - (4) The licensee has been on probation more than once.
- *(b)* The licensee shall obtain from each patient a signed receipt following the disclosure that includes a written explanation of how

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the patient can find further information on the licensee's probation on the board's Internet Web site.

- (c) The licensee shall not be required to provide the disclosure prior to the visit as required by subdivision (a) if the patient is unconscious or otherwise unable to comprehend the disclosure or sign the receipt pursuant to subdivision (b) and a guardian or health care surrogate is unavailable to comprehend the disclosure or sign the receipt. In such an instance, the licensee shall disclose her or his status as soon as either the patient can comprehend the disclosure and sign the receipt or a guardian or health care surrogate is available to comprehend the disclosure and sign the receipt.
  - (d) Section 4935 shall not apply to subdivision (a) or (b).
- (e) By July 1, 2018, the committee shall develop a standardized format for listing the following information pursuant to subdivision (f):
- (1) The listing of the causes for probation alleged in the accusation, the statement of issues, or the legal conclusions of an administrative law judge.
  - (2) The length of the probation and the end date.
- (3) All practice restrictions placed on the licencee by the committee.
- (f) By July 1, 2018, the board shall provide the information listed in subdivision (e) as follows:
  - (1) To an inquiring member of the public.
- (2) On any board documents informing the public of probation orders and probationary licenses, including, but not limited to, newsletters.
- (3) Upon availability of a licensee's BreEZe profile Internet Web page on the BreEZe system pursuant to Section 210, in plain view on the BreEZe profile Internet Web page of a licensee subject to probation or a probationary license.





**DEPARTMENT OF CONSUMER AFFAIRS** 

GOVERNOR EDMUND G. BROWN JR.

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA

2005 Evergreen Street, Suite 2050 Sacramento, CA 95815 **P** (916) 263-1978 | **F** (916) 263-2688 | **www.dhcc.ca.gov** 



Bill Number: SB 1155

AUTHOR: Assembly Member MORRELL

SPONSOR: Author

VERSION: Amended – 3/28/16

INTRODUCED: February 18, 2016

BILL STATUS: Set for first hearing April 25, 2016

BILL LOCATION: Senate Appropriations Committee

AGENDA ITEM: LEG 7- SB 1155 (Morrell)

SUBJECT: Professions and Vocations: Licenses: Military Service

## **SUMMARY**

This bill would require every board under the Department of Consumer Affairs (DCA) to grant a waiver for the application and initial licensing fee to an honorably discharged veteran. The Author believes that eliminating these fees will bring more veterans into the workforce, growing the skilled labor market in California, and taking a step to alleviate the growing problem of veteran homelessness.

## **ANALYSIS**

Existing law provides for the licensure and regulation of various professions and vocations by boards within the DCA. Existing law authorizes any licensee whose license expired while he or she was on active duty as a member of the California National Guard or the United States Armed Forces to reinstate his or her license without examination or penalty if certain requirements are met. Existing law also requires the boards to waive the renewal fees, continuing education requirements, and other renewal requirements, if applicable, of any licensee or registrant called to active duty as a member of the United States Armed Forces or the California National Guard, if certain requirements are met. Existing law requires each board to inquire in every application if the individual applying for licensure is serving in, or has previously served in, the military. Existing law, on and after July 1, 2016, requires a board within the DCA to expedite, and authorizes a board to assist, the initial licensure process for an applicant who has served as an active duty member of the United States Armed Forces and was honorably discharged. According to the Author, initial application and occupational license fees can act as a barrier for entry to the workforce for the 240,000 to 360,000 veterans who separate from the military each year. Many either already reside in or intend to make California their home, adding to the 1.9 million veterans residing in the state.

In its amended version, this bill would not significantly impact the DHCC. A survey of several licensing boards found that the impact on their overall revenue received from licensing fees would be minimal. Workload related to this bill would be performed by staff and is minor and absorbable.

# SUPPORT/OPPOSITION

## Support

- American G.I. Forum of California
- AMVETS, Department of California

- California Association of County Veterans Service Officers (CACVSO)
- California Association of Licensed Investigators, Inc.
- Goodwill Southern California
- Military Officers Association of America California Council of Chapters
- Veterans of Foreign Wars Department of California
- Veterans of Foreign Wars of California (San Diego County, Southern Imperial County)

# Oppose

• None on file

ATTACHED: SB 1155 (Morrell) - Amended Version - March 28, 2016

# **COMMITTEE POSITION**

The Committee has not yet taken a position on SB 1155 (Morrell). The Legislative and Regulatory Subcommittee may consider taking a position and making a recommendation to the full Committee.

COMMITTEE POSITION:	Support	Oppose	Neutral	Watch

# **Introduced by Senator Morrell**

February 18, 2016

An act to add Section 114.6 to the Business and Professions Code, relating to professions and vocations.

#### LEGISLATIVE COUNSEL'S DIGEST

SB 1155, as amended, Morrell. Professions and vocations: licenses: military service.

Existing law provides for the licensure and regulation of various professions and vocations by boards within the Department of Consumer Affairs. Existing law authorizes any licensee whose license expired while he or she was on active duty as a member of the California National Guard or the United States Armed Forces to reinstate his or her license without examination or penalty if certain requirements are met. Existing law also requires the boards to waive the renewal fees, continuing education requirements, and other renewal requirements, if applicable, of any licensee or registrant called to active duty as a member of the United States Armed Forces or the California National Guard, if certain requirements are met. Existing law requires each board to inquire in every application if the individual applying for licensure is serving in, or has previously served in, the military. Existing law, on and after July 1, 2016, requires a board within the Department of Consumer Affairs to expedite, and authorizes a board to assist, the initial licensure process for an applicant who has served as an active duty member of the Armed Forces of the United States Armed Forces and was honorably discharged.

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This bill would require the Department of Consumer Affairs, in consultation with the Department of Veterans Affairs and the Military Department, to establish and maintain a program that grantsvery board within the Department of Consumer Affairs to grant a fee waiver for the application for and the issuance of an initial license to an individual who is an honorably discharged veteran, as specified.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

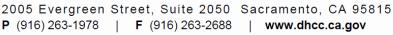
- 1 SECTION 1. Section 114.6 is added to the Business and 2 Professions Code, to read:
- 3 114.6. The Department of Consumer Affairs, in consultation
- 4 with the Department of V eterans Affairs and the Military
- 5 Department, shall establish and maintain a program that grants—
- 6 Notwithstanding any other provision of law, every board within
- 7 the department shall grant a fee waiver for the application for and
- 8 issuance of a license to an individual who is an honorably
- 9 discharged veteran who served as an active duty member of the
- 10 California National Guard or the United States Armed Forces.
- 11 Under this program, all of the following apply:
- 12 (a) The Department of Consumer Affairs shall grant only one fee waiver to a veteran. A veteran shall be granted only one fee waiver.
- 15 (b) The fee waiver shall apply only to an application of and a 16 license issued to an individual veteran and not to an application 17 of or a license issued to a business or other entity.
- 18 (c) A waiver shall not be issued for a renewal of a license or for 19 the application for and issuance of a license other than one initial
- 20 license.





GOVERNOR EDMUND G. BROWN JE

#### DENTAL HYGIENE COMMITTEE OF CALIFORNIA





Bill Number: SB 1217	AUTHOR: Assembly Member STONE						
SPONSOR: Author	VERSION: Amended – 4/12/16						
INTRODUCED: February 18, 2016	BILL STATUS: Set for second hearing, failed passage in Senate Business and Economic Development Committee						
BILL LOCATION: Senate Business, Professions and Economic Development Committee							
AGENDA ITEM: LEG 7- SB 1217 (Stone)							
SUBJECT: Healing Arts: Reporting Requirements: Professional Liability Resulting in Death or							

### **SUMMARY**

Personal Injury

This bill would require increases in the dollar amount for judgement and settlement information required to be kept in a licensee's central file by the Board of Pharmacy (Board) and increases the dollar amount for settlements that trigger mandatory reporting to the Board about Board licensees. This bill would, notwithstanding the above provision, instead require the record to contain reported judgments or settlements with damages over \$10,000 for persons licensed under the Pharmacy Act. This bill would raise the minimum dollar amount triggering those reporting requirements from \$3,000 to \$10,000 for persons licensed under the Pharmacy Law.

#### **ANALYSIS**

Existing law establishes a number of mandatory reporting requirements to health care licensing boards which are intended to inform boards about possible matters for investigation. Every insurer providing professional liability insurance to a person who holds a license, certificate, or similar authority from health care licensing entities, including the Dental Hygiene Committee of California (DHCC), are required to send a complete report to that board as to any settlement or arbitration award over \$3,000 of a claim or action for damages for death or personal injury caused by a licensee's negligence, error, or omission in practice, or by his or her rendering of unauthorized professional services. All healing arts boards are required to report information on settlements or arbitration awards. Some boards must report those in excess of \$10,000; and others must report information in amounts higher than \$30,000. All other boards must report those above \$3,000. While the \$3,000 amount may appear dated and arbitrary, a more thorough review of current practices and policies should be conducted before reporting requirements themselves are changed arbitrarily to ensure consumer protection.

In its amended version, this bill would not significantly impact the DHCC. Workload related to this bill would be performed by staff and is minor and absorbable.

## SUPPORT/OPPOSITION

Support

None on file

### Oppose

None on file

ATTACHED: SB 1217 (Stone) – Amended Version – April 12, 2016

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The Committee has not yet taken a position on SB 1217 (Stone). The Legislative and Regulatory Subcommittee may consider taking a position and making a recommendation to the full Committee.

COMMITTEE POSITION: \_\_\_ Support \_\_\_ Oppose \_\_\_ Neutral \_\_\_ Watch

# **Introduced by Senator Stone**

February 18, 2016

An act to amend Sections 800, 801, 801.1, and 802 of the Business and Professions Code, relating to healing arts.

#### LEGISLATIVE COUNSEL'S DIGEST

SB 1217, as amended, Stone. Healing arts: reporting requirements: professional liability resulting in death or personal injury.

Existing law establishes within the Department of Consumer Affairs various boards that license and regulate the practice of various professions and vocations, including those relating to the healing arts. Existing law requires each healing arts licensing board to create and maintain a central file containing an individual historical record on each person who holds a license from that board. Existing law requires that the individual historical record contain any reported judgment or settlement requiring the licensee or the licensee's insurer to pay over \$3,000 in damages for any claim that injury or death was proximately caused by the licensee's negligence, error or omission in practice, or rendering unauthorized professional service. Existing law, the Pharmacy Law, provides for the licensure and regulation of pharmacists and pharmacies by the California State Board of Pharmacy, which is within the Department of Consumer Affairs.

This bill-would would, notwithstanding the above provision, instead require the record to contain reported judgments or settlements with damages ov er—\$10,000. \$10,000 for persons licensed under the Pharmacy Act.

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Existing law requires an insurer providing professional liability insurance to a physician and surgeon, a governmental agency that self-insures a physician and surgeon or, if uninsured, a physician and surgeon himself or herself, to report to the respective licensing board information concerning settlements over \$30,000, arbitration awards in any amount, and judgments in any amount in malpractice actions to the practitioner's licensing board. Existing law provides that information concerning professional liability settlements, judgments, and arbitration awards of over \$10,000 in damages arising from death or personal injury must be reported to the respective licensing boards of specified healing arts practitioners including, among others, licensed professional clinical counselors, licensed dentists, and licensed veterinarians. Existing law provides that, for other specified healing arts practitioners including, among others, licensed educational psychologists, licensed nurses, and licensed pharmacists, information concerning professional liability settlements, judgments, and arbitration awards of over \$3,000 in damages arising from death or personal injury shall be reported to their respective licensing boards.

This bill would raise the minimum dollar amount triggering those reporting requirements from \$3,000 to \$10,000. \$10,000 for persons licensed under the Pharmacy Law.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

- SECTION 1. Section 800 of the Business and Professions Code
- 2 is amended to read:
- 3 800. (a) The Medical Board of California, the Board of
- 4 Psychology, the Dental Board of California, the Dental Hygiene
- 5 Committee of California, the Osteopathic Medical Board of
- 6 California, the State Board of Chiropractic Examiners, the Board
- 7 of Registered Nursing, the Board of Vocational Nursing and
- 8 Psychiatric Technicians of the State of California, the State Board
- 9 of Optometry, the Veterinary Medical Board, the Board of
- 10 Behavioral Sciences, the Physical Therapy Board of California,
- 11 the California State Board of Pharmacy, the Speech-Language
- 12 Pathology and Audiology and Hearing Aid Dispensers Board, the
- 13 California Board of Occupational Therapy, the Acupuncture Board,
- 14 and the Physician Assistant Board shall each separately create and

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maintain a central file of the names of all persons who hold a license, certificate, or similar authority from that board. Each central file shall be created and maintained to provide an individual historical record for each licensee with respect to the following information:

- (1) Any conviction of a crime in this or any other state that constitutes unprofessional conduct pursuant to the reporting requirements of Section 803.
- (2) (A) Any judgment or settlement requiring the licensee or his or her insurer to pay any amount of damages in excess of tenthousand dollars (\$10,000) three thousand dollars (\$3,000) for any claim that injury or death was proximately caused by the licensee's negligence, error or omission in practice, or by rendering unauthorized professional services, pursuant to the reporting requirements of Section 801 or 802.
- (B) Notwithstanding subparagraph (A), any judgment or settlement requiring a person licensed pursuant to Chapter 9 (commencing with Section 4000) or his or her insurer to pay any amount of damages in excess of ten thousand dollars (\$10,000) for any claim that injury or death was proximately caused by the licensee's negligence, error or omission in practice, or by rendering unauthorized professional services, pursuant to the reporting requirements of Section 801 or 802.
- (3) Any public complaints for which provision is made pursuant to subdivision (b).
- (4) Disciplinary information reported pursuant to Section 805, including any additional exculpatory or explanatory statements submitted by the licentiate pursuant to subdivision (f) of Section 805. If a court finds, in a final judgment, that the peer review resulting in the 805 report was conducted in bad faith and the licensee who is the subject of the report notifies the board of that finding, the board shall include that finding in the central file. For purposes of this paragraph, "peer review" has the same meaning as defined in Section 805.
- (5) Information reported pursuant to Section 805.01, including any explanatory or exculpatory information submitted by the licensee pursuant to subdivision (b) of that section.
- (b) (1) Each board shall prescribe and promulgate forms on which members of the public and other licensees or certificate holders may file written complaints to the board alleging any act

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of misconduct in, or connected with, the performance of professional services by the licensee.

- (2) If a board, or division thereof, a committee, or a panel has failed to act upon a complaint or report within five years, or has found that the complaint or report is without merit, the centralfile shall be purged of information relating to the complaint or report.
- (3) Notwithstanding this subdivision, the Board of Psychology, the Board of Behavioral Sciences, and the Respiratory Care Board of California shall maintain complaints or reports as long as each board deems necessary.
- (c) (1) The contents of any central file that are not public records under any other provision of law shall be confidential except that the licensee involved, or his or her counsel or representative, shall have the right to inspect and have copies made of his or her complete file except for the provision that may disclose the identity of an information source. For the purposes of this section, a board may protect an information source by providing a copy of the material with only those deletions necessary to protect the identity of the source or by providing a comprehensive summary of the substance of the material. Whichever method is used, the board shall ensure that full disclosure is made to the subject of any personal information that could reasonably in any wayreflect or convey anything detrimental, disparaging, or threatening to a licensee's reputation, rights, benefits, privileges, or qualifications, or be used by a board to make a determination that would affect a licensee's rightsbenefits, privileges, or qualifications. The information required to be
- (2) The licensee may, but is not required to, submit any additional exculpatory or explanatory statement or other information that the board shall include in the central file.
- (3) Each board may permit any law enforcement or regulatory agency when required for an investigation of unlawful activity or for licensing, certification, or regulatory purposes to inspect and have copies made of that licensee's file, unless the disclosure is otherwise prohibited by law.

disclosed pursuant to Section 803.1 shall not be considered among

the contents of a central file for the purposes of this subdivision.

(4) These disclosures shall effect no change in the confidential status of these records.

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SEC. 2. Section 801 of the Business and Professions Code is amended to read:

- 801. (a) Except as provided in Section 801.01 and subdivision (b) subdivisions (b), (c), (d), and (e) of this section, every insurer providing professional liability insurance to a person who holds a license, certificate, or similar authority from or under any agency specified in subdivision (a) of Section 800 shall send a complete report to that agency as to any settlement or arbitration award over ten thousand dollars (\$10,000) three thousand dollars (\$3,000) of a claim or action for damages for death or personal injury caused by that person's negligence, error, or omission in practice, or by his or her rendering of unauthorized professional services. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by all parties thereto or within 30 days after service of the arbitration award on the parties.
- (b) Every insurer providing professional liability insurance to a person licensed pursuant to Chapter 13 (commencing with Section 4980), Chapter 14 (commencing with Section 4991), or Chapter 16 (commencing with Section 4999.10) shall send a complete report to the Board of Behavioral Sciences as to any settlement or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or personal injury caused by that person's negligence, error, or omission in practice, or by his or her rendering of unauthorized professional services. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by all parties thereto or within 30 days after service of the arbitration award on the parties.
- (c) Every insurer providing professional liability insurance to a dentist licensed pursuant to Chapter 4 (commencing with Section 1600) shall send a complete report to the Dental Board of California as to any settlement or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or personal injury caused by that person's negligence, error, or omission in practice, or rendering of unauthorized professional services. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by all parties thereto or within 30 days after service of the arbitration award on the parties.

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- (d) Every insurer providing liability insurance to a veterinarian licensed pursuant to Chapter 11 (commencing with Section 4800) shall send a complete report to the Veterinary Medical Board of any settlement or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or injury caused by that person's negligence, error, or omission in practice, or rendering of unauthorized professional service. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by all parties thereto or within 30 days after service of the arbitration award on the parties.
- (e) Every insurer providing liability insurance to a person licensed pursuant to Chapter 9 (commencing with Section 4000) shall send a complete report to the California State Board of Pharmacy of any settlement or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or injury caused by that person's negligence, error, or omission in practice, or rendering of unauthorized professional service. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by all parties thereto or within 30 days after service of the arbitration award on the parties.

<del>(c)</del>

(f) The insurer shall notify the claimant, or if the claimant is represented by counsel, the insurer shall notify the claimant's attorney, that the report required by subdivision (a) has been sent to the agency. If the attorney has not received this notice within 45 days after the settlement was reduced to writing and signed by all of the parties, the arbitration award was served on the parties, or the date of entry of the civil judgment, the attorney shall make the report to the agency.

<del>(d)</del>

- (g) Notwithstanding any other provision of law, no insurer shall enter into a settlement without the written consent of the insured, except that this prohibition shall not void any settlement entered into without that written consent. The requirement of written consent shall only be waived by both the insured and the insurer. This section shall only apply to a settlement on a policy of
- 38
- 39 insurance executed or renewed on or after January 1, 1971.

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SEC. 3. Section 801.1 of the Business and Professions Code is amended to read:

- 801.1. (a) Every state or local governmental agency that self-insures a person who holds a license, certificate, or similar authority from or under any agencyspecified in subdivision (a) of Section 800 (except a person licensed pursuant to Chapter 3 (commencing with Section 1200) or Chapter 5 (commencing with Section 2000) or the Osteopathic Initiative Act) shall send a complete report to that agency as to any settlement or arbitration award over ten thousand dollars (\$10,000) three thousand dollars (\$3,000) of a claim or action for damages for death or personal injury caused by that person's negligence, error, or omission in practice, or rendering of unauthorized professional services. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by all parties thereto or within 30 days after service of the arbitration award on the parties.
  - (b) Every state or local governmental agency that self-insures a person licensed pursuant to Chapter 13 (commencing with Section 4980), Chapter 14 (commencing with Section 4991), or Chapter 16 (commencing with Section 4999.10) shall send a complete report to the Board of Behavioral Science Examiners as to any settlement or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or personal injury caused by that person's negligence, error, or omission in practice, or rendering of unauthorized professional services. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by all parties thereto or within 30 days after service of the arbitration award on the parties.
  - (c) Every state or local governmental agency that self-insures a person licensed pursuant to Chapter 9 (commencing with Section 4000) shall send a complete report to the California State Board of Pharmacy as to any settlement or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or personal injury caused by that person's negligence, error, or omission in practice, or rendering of unauthorized professional services. The report shall be sent within 30 days after the written settlement agreement has been reduced to writing and signed by

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all parties thereto or within 30 days after service of the arbitration
 award on the parties.
 SEC. 4. Section 802 of the Business and Professions Code is

SEC. 4. Section 802 of the Business and Professions Code is amended to read:

4 5 802. (a) Every settlement, judgment, or arbitration award over ten thousand dollars (\$10,000) three thousand dollars (\$3,000) of 6 7 a claim or action for damages for death or personal injury caused 8 by negligence, error or omission in practice, or by the unauthorized 9 rendering of professional services, by a person who holds a license, certificate, or other similar authority from an agency specified in 10 subdivision (a) of Section 800 (except a person licensed pursuant 11 to Chapter 3 (commencing with Section 1200) or Chapter 5 12 13 (commencing with Section 2000) or the Osteopathic Initiative Act) 14 who does not possess professional liability insurance as to that 15 claim shall, within 30 days after the written settlement agreement has been reduced to writing and signed by all the parties thereto 16 17 or 30 days after service of the judgment or arbitration award on the parties, be reported to the agency that issued the license, 18 19 certificate, or similar authority. A complete report shall be made 20 by appropriate means by the person or his or her counsel, with a 21 copy of the communication to be sent to the claimant through his 22 or her counsel if the person is so represented, or directly if he or she is not. If, within 45 days of the conclusion of the written 23 24 settlement agreement or service of the judgment or arbitration 25 award on the parties, counsel for the claimant (or if the claimant is not represented by counsel, the claimant himself or herself) has 26 27 not received a copy of the report, he or she shall himself or herself 28 make the complete report. Failure of the licensee or claimant (or, 29 if represented by counsel, their counsel) to comply with this section 30 is a public offense punishable by afine of not less than fifty dollars (\$50) or more than five hundred dollars (\$500). Knowing and 31 32 intentional failure to comply with this section or conspiracy or 33 collusion not to comply with this section, or to hinder or impede 34 any other person in the compliance, is a public offense punishable 35 by a fine of not less than five thousand dollars (\$5,000) nor more than fifty thousand dollars (\$50,000). 36

(b) Every settlement, judgment, or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or personal injury caused by negligence, error or omission in practice, or by the unauthorized rendering of professional

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services, by a marriage and family therapist, a clinical social 1 2 worker, or a professional clinical counselor licensed pursuant to 3 Chapter 13 (commencing with Section 4980), Chapter 14 4 (commencing with Section 4991), or Chapter 16 (commencing 5 with Section 4999.10), respectively, who does not possess 6 professional liability insurance as to that claim shall within 30 7 days after the written settlement agreement has been reduced to 8 writing and signed by all the parties thereto or 30 days after service 9 of the judgment or arbitration award on the parties be reported to the agency that issued the license, certificate, or similar 10 authority. A complete report shall be made by appropriate means 11 12 by the person or his or her counsel, with a copy of the 13 communication to be sent to the claimant through his or her 14 counsel if he or she is so represented, or directly if he or she is 15 not. If, within 45 days of the conclusion of the written settlement agreement or service of the judgment or arbitration award on the 16 17 parties, counsel for the claimant (or if he or she is not represented 18 by counsel, the claimant himself or herself) has not received a 19 copy of the report, he or she shall himself or herself make a 20 complete report. Failure of the marriage and family therapist, 21 clinical social worker, or professional clinical counselor or 22 claimant (or, if represented by counsel, his or her counsel) to 23 comply with this section is a public offense punishable by a fine of not less than fifty dollars (\$50) nor more than five hundred 24 25 dollars (\$500). Knowing and intentional failure to comply with 26 this section, or conspiracy or collusion not to comply with this 27 section or to hinder or impede any other person in that compliance, 28 is a public offense punishable by a fine of not less than five 29 thousand dollars (\$5,000) nor more than fifty thousand dollars 30 *(\$50,000)*.

(c) Every settlement, judgment, or arbitration award over ten thousand dollars (\$10,000) of a claim or action for damages for death or personal injury caused by negligence, error or omission in practice, or by the unauthorized rendering of professional services, by a person licensed pursuant to Chapter 9 (commencing with Section 4000) who does not possess professional liability insurance as to that claim shall within 30 days after the written settlement agreement has been reduced to writing and signed by all the parties thereto or 30 days after service of the judgment or arbitration award on the parties be reported to the California

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State Board of Pharmacy. A complete report shall be made by appropriate means by the person or his or her counsel, with a copy 3 of the communication to be sent to the claimant through his or her 4 counsel if he or she is so represented, or directly if he or she is not. If, within 45 days of the conclusion of the written settlement agreement or service of the judgment or arbitration award on the 6 parties, counsel for the claimant (or if he or she is not represented 8 by counsel, the claimant himself or herself) has not received a copy of the report, he or she shall himself or herself make a complete report. Failure of the person licensed pursuant to Chapter 10 11 9 (commencing with Section 4000) (or, if represented by counsel, his or her counsel) to comply with this section is a public offense 12 13 punishable by a fine of not less than fifty dollars (\$50) nor more than five hundred dollars (\$500). 14



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**Dental Hygiene Committee of California** 

Legislative and Regulatory Subcommittee

Agenda Item 8

**Future Agenda Items** 



Friday, May 6, 2016

**Dental Hygiene Committee of California** 

**Legislative and Regulatory Subcommittee** 

Agenda Item 9

**Adjournment**