State of California Office of Administrative Law

In re:

Dental Hygiene Board of California

Regulatory Action:

Title 16, California Code of Regulations

Amend sections: 1104.1

NOTICE OF APPROVAL OF CHANGES WITHOUT REGULATORY EFFECT

California Code of Regulations, Title 1, Section 100

OAL Matter Number: 2022-0428-02

OAL Matter Type: Nonsubstantive (N)

This change without regulatory effect amends section 1104.1 of title 16 of the California Code of Regulations and its incorporated document to correct the Board name and term for different types of registered dental hygienists to reflect statutory changes, change the Board address, and correct grammar.

OAL approves this change without regulatory effect as meeting the requirements of California Code of Regulations, title 1, section 100.

Date: June 6, 2022

Ashita Mohandas

Attorney

For:

Kenneth J. Pogue

Director

Original: Anthony Lum, Executive Officer

Copy: Adina Pineschi-Petty

ISUBSTANTIVE For use by Secretary of State only LINDORSED - FILED NOTICE FILE NUMBER OAL FILE REGULATORY ACTION NUMBER 022 - 0428 - 02in the office of the Secretary of State **NUMBERS** of the State of California For use by Office of Administrative Law (OAL) only JUN 06 2022 Velevied at 2:48pm OFFICE OF ADMIN. LAW 2022 APR 28 AH11:35 NOTICE REGULATIONS AGENCY WITH RULEMAKING AUTHORITY AGENCY FILE NUMBER (If any) Dental Hygiene Board of California - Department of Consumer Affairs A. PUBLICATION OF NOTICE (Complete for publication in Notice Register) 1. SUBJECT OF NOTICE TITLE(S) FIRST SECTION AFFECTED 2. REQUESTED PUBLICATION DATE 3. NOTICE TYPE 4. AGENCY CONTACT PERSON TELEPHONE NUMBER FAX NUMBER (Optional) Notice re Proposed Other Regulatory Action **OAL USE** NOTICE REGISTER NUMBER PUBLICATION DATE Approved as Approved as Disapproved ONLY B. SUBMISSION OF REGULATIONS (Complete when submitting regulations) 1a. SUBJECT OF REGULATION(S) 1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S) Process for Approval of a New RDH Educational Program 2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related) SECTION(S) AFFECTED (List all section number(s) AMEND individually. Attach additional sheet if needed.) 1104.1 TITLE(S) REPEAL 16 3. TYPE OF FILING Regular Rulemaking (Gov. Certificate of Compliance: The agency officer named Emergency Readopt Changes Without Code §11346) X below certifies that this agency complied with the (Gov. Code, §11346.1(h)) Regulatory Effect (Cal Resubmittal of disapproved provisions of Gov. Code §§11346.2-11347.3 either Code Regs., title 1, §100) before the emergency regulation was adopted or or withdrawn nonemergency within the time period required by statute filing (Gov. Code §§11349.3, File & Print Print Only 11349.4) Emergency (Gov. Code, Resubmittal of disapproved or withdrawn Other (Specify) §11346.1(b)) emergency filing (Gov. Code, §11346.1) 4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1) 5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100) Effective January 1, April 1, July 1, or Effective on filing with §100 Changes Without Regulatory Effect Effective other October 1 (Gov. Code §11343.4(a)) Secretary of State (Specify) 6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY Department of Finance (Form STD. 399) (SAM §6660) Fair Political Practices Commission State Fire Marshal Other (Specify) CONTACT PERSON TELEPHONE NUMBER FAX NUMBER (Optional) E-MAIL ADDRESS (Optional) Adina Pineschi-Petty (916) 576-5002 (916) 263-2688 adina.petty@dca.ca.gov 8. I certify that the attached copy of the regulation(s) is a true and correct copy For use by Office of Administrative Law (OAL) only of the regulation(s) identified on this form, that the information specified on this form

is true and correct, and that I am the head of the agency taking this action,

Anthony Lum, Executive Officer, Dental Hygiene Board of California

SIGNATURE OF AGENCY HEAD OR DESIGNEE

TYPED NAME AND TITLE OF SIGNATORY

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or a designee of the head of the agency, and am authorized to make this certification.

DATE

April 28, 2022

ENDORSED APPROVED

HIN 06 2022

Office of Administrative Law

TITLE 16. DENTAL HYGIENE BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS

Process for Approval of a New RDH Educational Program – Section 100

Legend: Added text is indicated with an <u>underline</u>.

Deleted text is indicated by strikeout.

Amend §1104.1 of Title 16 of the California Code of Regulations (CCR) to read as follows:

- § 1104.1. Process for Approval of a New RDH Educational Program.
- (a) A college or an institution of higher education applying for approval of a new educational program for registered dental hygienists shall comply with the requirements specified in the Committee's Dental Hygiene Board's document entitled, "Instructions for Institutions Seeking Approval of a New RDH Educational Program", (EDP-I-01 Rev 12/1503/2022), ("Instructions"), which is hereby incorporated by reference, including:
 - (1) Notify the <u>Committee Dental Hygiene Board</u> in writing of its intent to offer a new educational program that complies with <u>Committee Dental Hygiene Board</u> requirements;
 - (2) Submit a feasibility study in accordance with the requirements specified in the "Instructions" for approval as referenced in Business and Professions Code (BPC) section 1941(b);
 - (3) The Committee Dental Hygiene Board shall review the feasibility study and approve or deny approval of the study as specified in the "Instructions".
- (b) After approval of the feasibility study by the Committee Dental Hygiene Board, and at least twelve (12) months prior to the proposed date for enrollment of students, the educational program shall submit CODA's, or an equivalent accrediting body's required documents to the Committee Dental Hygiene Board in accordance with the requirements specified in the "Instructions". This includes a Self-Study Report that delineates how the proposed program plans to comply with the CODA accreditation standards contained in CODA's "Accreditation Standards for Dental Hygiene Education Programs" (As Last Revised: February 6, 2015) which is hereby incorporated by reference.
- (c) The required documents shall be reviewed by the Committee Dental Hygiene Board and site visit shall be scheduled in accordance with the requirements specified in the "Instructions".
- (d) The Committee Dental Hygiene Board may approve, provisionally approve, or deny approval of the educational program in accordance with the requirements specified in the "Instructions".

(e) The educational program shall notify the Committee Dental Hygiene Board in writing of any substantive or major change in information contained in the required approval documents within 10 days of such change. A substantive or major change is one that affects the original submission, where without the submission of the new information the request for approval for a new educational program would be false, misleading, or incomplete.

Note: Authority cited: Sections 1905 and 1906, Business and Professions Code. Reference: Sections 1905, 1941 and 1944, Business and Professions Code.

State of California DENTAL HYGIENE COMMITTEE BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS

Feasibility Study Instructions Form EDP-I-01 Rev 12/1503/2022

INSTRUCTIONS FOR INSTITUTIONS SEEKING APPROVAL OF A NEW RDH EDUCATIONAL PROGRAM

(Business and Professions Code sections 1941 and 1944(a)(9); California Code of Regulations, title 16, section 1104.1)

The Dental Hygiene Committee Board of California (DHCC DHBC or Committee Board) is the agency authorized to approve all new educational programs for Registered Dental Hygienists (RDHs). Representatives of institutions proposing the development of a new RDH educational program are required by law to submit a feasibility study demonstrating a need for a new RDH educational program (Business and Professions Code (BPC) Section 1941).

BPC § 1941. Committee Dental Hygiene Board approval of educational programs:

- (a) The committeedental hygiene board shall grant or renew approval of only those educational programs for a registered dental hygienist, a registered dental hygienist in alternative practice, or a registered dental hygienist in extended functions RDHs that continuously maintain a high quality standard of instruction and, where appropriate, meet the minimum standards set by the Commission on Dental Accreditation of the American Dental Association or an equivalent body, as determined by the committeedental hygiene board.
- (b) A new educational program for registered dental hygienists RDHs shall submit a feasibility study demonstrating a need for a new educational program and shall apply for approval from the committeedental hygiene board prior to before seeking any required approval for initial accreditation from the Commission on Dental Accreditation of the American Dental Association or an equivalent body, as determined by the committee dental hygiene board. The committeedental hygiene board may approve, provisionally approve, or deny approval of any such a new educational program for RDHs.
- (c) For purposes of this section, a new or existing educational program for registered dental hygienists RDHs means a program provided by a college or institution of higher education that is accredited by a regional accrediting agency recognized by the United States Department of Education and that has as its primary purpose providing college level courses leading to an associate or higher degree, that is either affiliated with or conducted by a dental school approved by the dental board, or that is accredited to offer college level or college parallel programs by the Commission on Dental Accreditation of the American Dental Association or an equivalent body, as determined by the committeedental hygiene board.
- (d) For purposes of this section, "RDHs" means registered dental hygienists, registered dental hygienists in alternative practice, or registered dental hygienists in extended functions.

FEE REQUIRED: A check in the amount of \$2,100, pursuant to Business and Professions CodeBPC Section 1944(a)(9), made payable to the "Dental Hygiene CommitteeBoard of California or DHCCDHBC", must be submitted with the feasibility study. This fee is non-refundable. Payment of the fee does not guarantee DHCCDHBC approval.

The process shall be completed within one year of receipt of the application for the feasibility study and payment of the required fee, unless an extension is granted by the DHCCDHBC executive officer, or his/her designee. An extension may be granted at the discretion of the executive officer or his/her designee for administrative purposes and/or requests for additional information. For example, an institution may undergo a natural disaster, or be unable to complete construction of a new facility due to extenuating circumstances out of its control. If the one_year period expires, the process ends, and a new fee shall be required for re-submission.

STEP 1 – Submit a Letter of Intent:

Submit a letter of intent to the <u>DHCCDHBC</u> at least one year in advance of the anticipated date <u>for of</u> admission of students. The letter shall include:

- Name and address of the institution seeking approval
- Contact information for the person responsible for the feasibility study
- Type of degree granted
- Length of proposed program
- Anticipated enrollment
- Proposed start date

The letter shall be addressed to:

Executive Officer
Dental Hygiene CommitteeBoard of California
2005 Evergreen Street, Suite 10501350
Sacramento, CA 95815

The <u>DHCCDHBC</u> shall acknowledge receipt of the letter of intent. Upon receipt of the letter of acknowledgment from the <u>DHCCDHBC</u>, the institution shall have up to six (6) months to submit **Step 2** - Feasibility Study.

STEP 2 – Submit Feasibility Study:

Submit a feasibility study to the <u>DHCCDHBC</u> documenting the need for a new RDH educational program and the ability to develop, implement, and sustain an educational program for registered dental hygienists. The feasibility study shall include the following:

A. The feasibility study shall contain a <u>"Table of Contents"</u> with the following required sections addressed in detail:

- 1) Rationale for Development of New Educational Program
- 2) Structure and Governance
- 3) Facilities and Resources
- 4) Cost-Revenue Projections with detailed 5-year budget
- 5) Students and Student Services
- B. Pages in the body of the feasibility study shall be numbered consecutively to facilitate the review.
- C. Attachments and appendices shall be tabbed and numbered consecutively.
- D. Required Sections:
 - 1) Rationale for the Development of New Educational Program

Provide rationale for development of a new program, including statistical data and other relevant information that addresses:

- a. Regional labor statistics regarding projected need for this type of licensee
 - Provide summary comments and tables as necessary and cite original source of information from the California Employment Development Department – Labor Market Information or an equivalent State or County agency.
- b. Potential local/regional industry employment statistics regarding current open positions and projected needs for additional licensees including any workforce shortage areas.
 - Copy of source data used
 - Summary of findings
 - Tabulated results
- c. Description of the characteristics of the population in the community being served by the program including oral health needs.
- d. Impact on RDH educational programs within a 100 miles radius of the proposed program by contacting all approved existing educational programs in regard to:
 - Locale, region, or state(s) from which students are drawn.
 - Whether there is a "waiting list" or more qualified applicants than admitted annually.
 - Length of time it takes licensed graduates to obtain gainful employment (at least 3 days per week) in dental hygiene.
- e. Description of the length of the program, type of degree(s) granted, the intended start date, projected size of the first class, and enrollment projection for the first five years and method for determining the projected enrollment.

- f. Plans for promoting and marketing the proposed program.
- g. Projected timeline for planning and initiating program.

2) Structure and Governance

Description of the institution and the institution's experience providing dental hygiene or other health-related educational programs. The description must include:

- a. Institutional accreditation status and history such as date of initial accreditation, denials, revocations, warnings for the institution and any programs offered by the institution.
- b. History, organizational structure and programs (attach an organization chart).
- c. Geographic area (community) served by the institution and a description of the community and its population.
- d. Institution's strategic plan.
- e. Type of dental hygiene RDH or other health-related programs including: number of students currently enrolled and graduates by program type; passage rate on any required certification or licensing examination for the past five years (as applicable); and status of the program with any state, regional, or federal agency.
- f. If the educational programinstitution does not have a dental hygiene an RDH education program or other health-related programs, provide a statement related to the processes and resources it shall utilize to start and sustain a registered dental hygiene an RDH education program.

3) Facilities and Resources

Describe physical location of proposed RDH program.

- Describe space committed to the program and provide copies of floor plans to include faculty and staff offices, classrooms, laboratories, clinical facilities, and storage areas.
- b. Provide status report on construction or renovation of physical facilities.
- c. List educational resources, equipment, supplies purchased or to be purchased for the program.

4) Cost-Revenue Projections

Start-up Budget and Funding Sources

- a. Local, state, and federal support
- b. Projected student fees
- c. Grant support
- d. Support from other entities such as funding from corporate, private industry, professional associations, donations
- e. Projected clinic revenue

Include a 5-year capital and operational line item budget that includes projected

costs for proposed program which includes:

- I. Capital Expenditures
 - A. Facilities (for example):
 - 1. Clinic
 - 2. Laboratory
 - 3. Locker Room
 - 4. Reception Room
 - 5. Faculty & staff offices
 - 6. Other (specify)
 - B. Equipment (for example):
 - 1. Dental Units
 - 2. Radiography (unit.)
 - 3. Laboratory
 - 4. Instructional equipment
 - 5. Other (specify)
- II. Non-capital Expenditures
 - A. Instructional materials, e.g., slides, films
 - B. Clinic supplies
 - C. Laboratory supplies
 - D. Office supplies
 - E. Program library collection
 - 1. Institutional
 - 2. Departmental
 - F. Equipment maintenance and replacement
 - G. Other (specify)
- III. Faculty
 - A. Salaries
 - B. Benefits
 - C. Professional Development
 - D. Travel for Student Supervision
 - E. Other (specify)
- IV. Staff
 - A. Secretarial Support
 - B. Clinic Support Staff
 - C. Other (specify)
- V. Other Categories, if any (specify)

5) Students and Student Services

Admission and progression criteria:

- a. Admission criteria:
 - Institutional policies
 - Educational program selection policies
- b. Progression and graduation criteria:
 - Institutional criteria for progression and graduation
 - Educational program's criteria for progression and graduation.

including grading policies

Student policies:

- a. Provisions for student health and housing
- b. Provisions for counseling and guidance
- c. Financial aid policies, scholarship and grant opportunities
- d. Appeals Provisions

The Educational Program shall submit two hard copies and one electronic copy in pdf format to:

Executive Officer
Dental Hygiene CommitteeBoard of California
2005 Evergreen Street, Suite 10501350
Sacramento, CA 95815

STEP 3 – Review of Feasibility Study

It is the responsibility of the proposed RDH educational program to have staff or a consultant(s) who possess the requisite knowledge and expertise to complete a feasibility study that conforms to the requirements specified in these instructions. Upon submission of the feasibility study, the <a href="https://doi.org/10.2016/na.2016/

- If the <u>DHCCDHBC</u> staff determines the feasibility study is complete
 and complies with requirements specified in these Instructions, the
 <u>DHCCDHBC</u> staff shall submit the feasibility study to the <u>DHCC</u>
 <u>DHBC</u>- Education Subcommittee (ES) for review and a
 recommendation shall be forwarded to the full <u>CommitteeDental</u>
 <u>Hygiene Board</u> (Step 4).
- If the feasibility study is incomplete, the educational program shall be notified in writing by the DHCCDHBC staff of any deficiencies and a deadline for submission of a revised feasibility study.
- If the <u>DHCCDHBC</u> staff determines the revised feasibility study is complete, it shall be forwarded to its the ES.
- If staff deems the revised feasibility study incomplete, it shall be returned to the program with a written notice of the deficiencies, and shall not be forwarded to itsthe ES.
- If the revised feasibility study is returned because it is incomplete and the
 prospective RDH educational program still wishes to seek approval, the
 educational program must restart at Step 1. The letter of intent must include
 a statement summarizing the DHCCDHBC reason(s) for not accepting the
 prior revised feasibility study and subsequent corrective action the
 educational program has taken.

STEP 4 – Education Subcommittee (ES) Recommendation on the Feasibility Study

- Evidence of a need for a new RDH educational program.
- Evidence of ability to initiate and maintain a RDH educational program in compliance with all applicable Committee Dental Hygiene Board laws and regulations.
- Evidence of initial and sustainable budgetary provisions for the <u>proposed RDH</u> educational program.

STEP 5 – DHCCDHBC Action on the Feasibility Study

The ES recommendation on the feasibility study shall be submitted to the full Committee Dental Hygiene Board for discussion and action at a regularly scheduled DHCCDHBC meeting. All DHCCDHBC meetings are open to the public with opportunities for public comment. The DHCCDHBC shall approve, or deny the study.

The following action shall be taken:

- Within ten (10) days after the Committee Dental Hygiene Board's decision on the feasibility study, the DHCCDHBC staff shall notify the proposed RDH educational program in writing of its decision.
- If the feasibility study is denied, the notice shall include the basis for its decision.
- If the feasibility study is approved, the <u>proposed RDH</u> educational program may apply for initial accreditation from the Commission on Dental Accreditation of the American Dental Association (CODA), or an equivalent accrediting body, as determined by the <u>CommitteeDental</u> Hygiene Board.

STEP 6 – Self-Study Report and Site Visit

Upon the DHCCDHBC's approval of the feasibility study, the proposed RDH educational program shall prepare CODA's, or an equivalent accrediting body's, as determined by the Committee Dental Hygiene Board, Self-Study Report for the proposed RDH program. At least twelve (12) months prior to the projected date of student enrollment; the proposed RDH educational program must submit to the DHCCDHBC a Self-Study Report that delineates how the proposed RDH educational program plans to comply with the accreditation standards contained in CODA's "Accreditation Standards for Dental Hygiene Education Programs" (As Last Revised: February 6, 2015).

The DHCC-DHBC staff shall review the Self-Study Report and verify that the Self-Study Report meets all applicable CODA standards and regulations found in CODA's "Self-Study Guide for the Evaluation of a Dental Hygiene Education Program" (As Last Revised: January 1, 2016). DHCCDHBC staff shall notify the program director of any deficiencies, issues, or concerns with the Self-Study Report. Once the DHCC DHBC staff has verified that verifies the Self-Study Report is complete, an on-site visit shall be scheduled. The DHCCDHBC staff shall visit selected clinical sites the proposed RDH educational program plans to use as part of the on-site visit and confirm the evidence presented in the program's Self-Study Report. The DHCC DHBC staff shall complete a written report of the findings. This report shall be submitted to the ES for action and recommendation to the full Committee Dental Hygiene Board.

STEP 7 – Education Subcommittee (ES) and Full Committee Dental Hygiene Board Actions

The ES recommendation on the Self-Study Report and site visit shall be submitted for full Committee Dental Hygiene Board discussion and action at a regularly scheduled DHCC Committee Dental Hygiene Board meeting. The full Committee Dental Hygiene Board may approve, provisionally approve or deny the new educational program. If provisionally approved, the full Committee Dental Hygiene Board may defer action on the proposed RDH educational program's approval with an opportunity for the proposed RDH educational program to provide additional information.

The following action shall be taken:

- Within ten (10) days after the Committee Dental Hygiene Board's decision on the proposed RDH educational program, the DHCCDHBC shall notify the proposed RDH educational program in writing of its decision.
- If the <u>proposed RDH educational program</u> is denied, the notice shall include the basis for its decision. The program may request an informal conference

- as specified in 1104.2.
- If the <u>proposed RDH educational</u> program is provisionally approved, the notice shall specify what additional information and documents are needed from the <u>proposed RDH educational</u> program and a due date requested for submission of the materials. The revisions shall be considered at a regularly scheduled ES and the <u>DHCC</u> full <u>CommitteeDental Hygiene Board meeting after the due date for submission of materials. If the <u>proposed RDH</u> educational program is not granted approval, the <u>DHCCDHBC</u> shall notify the <u>proposed RDH educational</u> program in writing within ten (10) days; the notice shall include the basis for the <u>Committee'sDental Hygiene Board's</u> decision.</u>
- A denied <u>proposed RDH educational</u> program shall restart with **Step 1** of the approval process. The Letter of Intent must include a statement summarizing the <u>Committee's Dental Hygiene Board's</u> reason(s) for not accepting the prior submissions and subsequent corrective action the <u>proposed RDH</u> educational program has taken.

A material misrepresentation of fact by a new <u>RDH</u> educational program in any information required to be submitted to the <u>CommitteeDental Hygiene Board</u> is grounds for denial of approval.